Edgar Filing: ENTERPRISE FINANCIAL SERVICES CORP - Form 4

ENTERPRISE FINANCIAL SERVICES CORP

Form 4 July 06, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section See Instruction

2. Issuer Name and Ticker or Trading

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

| MURPHY J | AMES | Symbol ENTE | RPRISE FINANCIAL ICES CORP [EFSC] | Issuer (Check all applicable) | | | |
|--------------------------------------|---|----------------|--|--|--|--|--|
| (Last) 150 N. MER | | | of Earliest Transaction /Day/Year) /2016 | _X_ Director 10% Owner Officer (give title below) Other (specify below) | | | |
| CT LOUIS | (Street) | | nendment, Date Original (onth/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| ST. LOUIS, | MO 63105 | | | Person | | | |
| (City) | (State) | (Zip) Ta | ble I - Non-Derivative Securities A | cquired, Disposed of, or Beneficially Owned | | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | Code Disposed of (D) | 5. Amount of Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported Transaction(s) (Instr. 3 and 4) | | | |
| Common Stock | 07/01/2016 | | A 364 A \$0 | 36,526 I By Trust | | | |
| Common Stock | | | | 153,838 I Gift Trust | | | |
| | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: ENTERPRISE FINANCIAL SERVICES CORP - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative | 2. Conversion | 3. Transaction Date (Month/Day/Year) | Execution Date, if | 4. Transactio | | 6. Date Exercises Expiration D | ate | 7. Title a | of | 8. Price of Derivative | 9. Nu Deriv |
|------------------------|-------------------------|--------------------------------------|-------------------------|------------------|-------------------|--------------------------------|------------|-----------------------|-------------|------------------------|----------------|
| Security (Instr. 3) | or Exercise Price of | | any (Month/Day/Year) | Code (Instr. 8) | of Derivative | (Month/Day/ e | i cai j | Underlyi Securitie | _ | Security (Instr. 5) | Secur Bene |
| | Derivative | | | | Securities | | | (Instr. 3 | and 4) | | Owne |
| | Security | | | | Acquired (A) or | | | | | | Follo Repo |
| | | | | | Disposed | | | | | | Trans |
| | | | | | of (D) (Instr. 3, | | | | | | (Instr |
| | | | | | 4, and 5) | | | | | | |
| | | | | | | | | A | mount | | |
| | | | | | | Date | Expiration | or Title N | r Iumber | | |
| | | | | | (4) | Exercisable | Date | of | f | | |
| | | | | Code V | (A) (D) | | | Sl | hares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|---------|-------|--|--|
| 1 8 | Director | 10% Owner | Officer | Other | | |
| MURPHY JAMES 150 N. MERAMEC ST. LOUIS, MO 63105 | X | | | | | |

Signatures

/s/ James
Murphy

**Signature of Reporting Person

O7/06/2016

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares issued under the Non-Management Director Stock Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2