

STARBUCKS CORP
Form 3
February 06, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|---|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Maw Scott Harlan | | (Month/Day/Year) | STARBUCKS CORP [SBUX] | |
| (Last) | (First) | (Middle) | 02/03/2014 | |
| 2401 UTAH AVE. | | 4. Relationship of Reporting Person(s) to Issuer | | |
| SOUTH, SUITE 800 | | (Check all applicable) | | |
| (Street) | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) evp, chief financial officer | | |
| SEATTLE, WA 98134 | | 5. If Amendment, Date Original Filed(Month/Day/Year) | | |
| (City) | (State) | (Zip) | 6. Individual or Joint/Group Filing(Check Applicable Line) | |
| | | <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person | | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 12,163 ⁽¹⁾ | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|--|---|--|
| | Date Exercisable | Title | | | |

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| | Expiration Date | Amount or Number of Shares | or Indirect (I) (Instr. 5) |
|---|--------------------------------------|------------------------------|----------------------------|
| Non-qualified Stock Option (Right to Buy) | 08/15/2012 ⁽²⁾ 08/15/2021 | Common Stock 11,720 \$ 38.42 | D Â |
| Non-qualified Stock Option (Right to Buy) | 11/19/2013 ⁽³⁾ 11/19/2022 | Common Stock 12,565 \$ 49.74 | D Â |
| Non-qualified Stock Option (Right to Buy) | 11/11/2014 ⁽⁴⁾ 11/11/2023 | Common Stock 23,134 \$ 80.99 | D Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|--------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Maw Scott Harlan 2401 UTAH AVE. SOUTH SUITE 800 SEATTLE, WA 98134 | Â | Â | Â evp, chief financial officer | Â |

Signatures

/s/ Robert L. Villase?or, attorney-in-fact for Scott Maw 02/06/2014

Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents 3,904 Restricted Stock Units granted August 15, 2011 and scheduled to vest on August 15, 2015; and 8,259 Restricted Stock Units granted November 15, 2012, 4,130 of which are scheduled to vest on November 15, 2014 and 4,129 of which are scheduled to vest on November 15, 2016.
- (2) The option, representing the right to buy a total of 23,442 shares, became exercisable in two increments of 5,861 shares each on August 15, 2012 and August 15, 2013, and will become exercisable in two increments of 5,860 shares each on August 15, 2014 and August 15, 2015.
- (3) The option, representing the right to buy a total of 12,565 shares, became exercisable in one increment of 3,142 shares on November 19, 2013, and will become exercisable in three increments of 3,141 shares each on November 19, 2014, November 19, 2015 and November 19, 2016.
- (4) The option, representing the right to buy a total of 23,134 shares, will become exercisable in two increments of 5,784 shares each on November 11, 2014 and November 11, 2015, and two increments of 5,783 shares each on November 11, 2016 and November 11, 2017.

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Remarks:
 ExhibitÂ 24Â PowerÂ ofÂ AttorneyÂ attachedÂ toÂ thisÂ filing.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.