COOPER COMPANIES INC

Form 4 January 07, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

Issuer

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

2. Issuer Name and Ticker or Trading

Symbol

1(b).

(Print or Type Responses)

ROSENBERG STEVEN

1. Name and Address of Reporting Person *

		•	COOPER COMPANIES INC [COO]				(Check all applicable)			
(Last) 6140 STO	NERIDGE MALI	(M	01/06/2014				X Director 10% Owner Officer (give title Other (specify below)			
	(Street)		Filed(Month/Day/Year) Ap				. Individual or Joint/Group Filing(Check applicable Line) X_ Form filed by One Reporting Person			
PLEASAN	NTON, CA 94588		Pe				Form filed by More than One Reporting erson			
(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date any (Month/Day/Y	Code	4. Securit onDisposed (Instr. 3, 4	of (D))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	01/06/2014		M	14,604	A	\$ 69.39	26,704	D		
Common Stock	01/06/2014		S	14,604	D	\$ 122.3462 (1)	12,100	D		
Common Stock	01/06/2014		M	2,896	A	\$ 69.39	14,996	D		
Common Stock	01/06/2014		S	2,896	D	\$ 123.6175 (2)	12,100	D		
	01/06/2014		A		A	\$ 0.1	13,144	D		

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 Common
 1,044

 Stock
 (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	ntion Date, if TransactionDerivative Code Securities		6. Date Exer Expiration D (Month/Day,	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to Buy)	\$ 69.39	01/06/2014		M	14,604	<u>(4)</u>	11/01/2014	Common Stock	14,604
Stock Options (Right to Buy)	\$ 69.39	01/06/2014		M	2,896	<u>(4)</u>	11/01/2014	Common Stock	2,896

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

ROSENBERG STEVEN 6140 STONERIDGE MALL ROAD SUITE 590

X

PLEASANTON, CA 94588

Signatures

/s/ Steven Rosenberg 01/06/2014

**Signature of Date Reporting Person

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Price represents an average sale price between \$122.03 and \$122.84.
- (2) Price represents an average sale price between \$123.41 and \$123.85.
- (3) NED RS Grant 11/15/13: Restrictions will be removed on the first anniversary of the date of grant 11/15/2014.
- (4) Vests when average of closing prices during any 30 consecutive trading days after the date of grant reaches \$76.33.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.