#### **AMERISTAR CASINOS INC**

Form 4

August 14, 2013

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

3235-0287 Number: January 31,

**OMB APPROVAL** 

Expires: 2005 Estimated average

> 10% Owner Other (specify

0.5

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

**Brooks Carl** 

2. Issuer Name and Ticker or Trading

Symbol

AMERISTAR CASINOS INC

[ASCA]

(Last) (First) (Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 08/13/2013

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

3773 HOWARD HUGHES PKWY, SUITE 490S

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

below)

6. Individual or Joint/Group Filing(Check Applicable Line)

X\_ Director

Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

LAS VEGAS, NV 89169

(City)

Common

Stock

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

(State)

08/13/2013

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

Transaction(s) (Instr. 3 and 4) 6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

Price Code V Amount (D)

\$ 23,620 D 26.5 0

(1)(2)

(A)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

D

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: AMERISTAR CASINOS INC - Form 4

1. Title of Derivative Security (Instr. 3)	ve Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 II S (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right Buy)	A /4 19	08/13/2013		D	2,400	(3)	10/27/2013	Common Stock	2,400	
Stock Option (Right Buy)	X /4 79	08/13/2013		D	17,600	(3)	10/27/2016	Common Stock	17,600	
Stock Option (Right Buy)	* // 119	08/13/2013		D	3,750	(3)	07/29/2021	Common Stock	3,750	
Stock Option (Right Buy)	* 1X D /	08/13/2013		D	3,750	(3)	07/31/2019	Common Stock	3,750	
Stock Option (Right Buy)	N 1 / / N	08/13/2013		D	7,500	(3)	06/20/2018	Common Stock	7,500	
Stock Option (Right Buy)	N IN NX	08/13/2013		D	8,210	(3)	07/25/2022	Common Stock	8,210	
Stock Option (Right Buy)		08/13/2013		D	3,750	(3)	07/30/2020	Common Stock	3,750	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Brooks Carl	X					
3773 HOWARD HUGHES PKWY						

Reporting Owners 2

SUITE 490S LAS VEGAS, NV 89169

## **Signatures**

/s/ Peter C. Walsh, Attorney-in-Fact

08/14/2013

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Pursuant to the terms of the issuer's applicable stock incentive plans and the terms of the Agreement and Plan of Merger (the "Merger") among the issuer, Pinnacle Entertainment, Inc., and certain affiliates of Pinnacle, vesting of restricted stock units included in these securities (if not already vested) was accelerated and such units were cancelled in the Merger in exchange for a cash payment equal to \$26.50, the price payable by the acquirer in the Merger (the "Merger Price") for each share receivable in respect of such units.
- Pursuant to the Merger, all outstanding shares of common stock of the issuer were cancelled in exchange for the right to receive the Merger Price in cash.
- Pursuant to the issuer's applicable stock incentive plans and the terms of the Merger, vesting of these options (if not already vested) was accelerated and such options were cancelled in the Merger in exchange for a cash payment, per share, equal to the difference between the Merger Price and the exercise price of the option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3