Heske Gerrit Form 3 April 24, 2013

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement BALL CORP [BLL] Heske Gerrit (Month/Day/Year) 04/24/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) BALL CORPORATION, Â 10 (Check all applicable) LONGS PEAK DRIVE (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Sr VP, COO, Global MB Pkging Person BROOMFIELD, Â COÂ 80021 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 38,132.1334 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
		Title	Derivative	Security:	
			Security	Direct (D)	

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Restricted Stock Units	(1)	(1)	Common Stock	38,250	\$ <u>(1)</u>	D	Â
Stock Appreciation Rights (sars)	04/25/2017	04/25/2017	Common Stock	12,000	\$ 24.66	D	Â
Stock Appreciation Rights (sars)	04/23/2018	04/23/2018	Common Stock	12,000	\$ 25.055	D	Â
Stock Appreciation Rights (sars)	01/28/2019	01/28/2019	Common Stock	8,000	\$ 20.04	D	Â
Stock Appreciation Rights (sars)	01/27/2020	01/27/2020	Common Stock	24,000	\$ 25.225	D	Â
Stock Appreciation Rights (sars)	01/26/2021	01/26/2021	Common Stock	19,700	\$ 35.835	D	Â
Stock Appreciation Rights (sars)	01/25/2022	01/25/2022	Common Stock	19,700	\$ 37.7	D	Â
Stock Appreciation Rights (sars)	01/30/2023	01/30/2023	Common Stock	32,400	\$ 45.93	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships			
• 0	Director	10% Owner	Officer	Other
Heske Gerrit BALL CORPORATION 10 LONGS PEAK DRIVE BROOMFIELD, CO 80021	Â	Â	Sr VP, COO, Global MB Pkging	Â

### **Signatures**

/s/ Charles E. Baker, attorney-in-fact for Mr. Heske

04/23/2013

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units awarded under the Stock and Cash Incentive Plan. Each restricted stock unit represents a contingent right to receive one share of Ball Corporation Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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