Edgar Filing: SALAS PETER E - Form 4

SALAS PET Form 4 March 20, 2										
FORM	ЛЛ								PPROVAL	
	UNITED	STATES		RITIES A			E COMMISSIO	N OMB Number:	3235-0287	
Check th if no lon subject to Section 1 Form 4 c	ger o STATEN 16. or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							January 31, 2005 average irs per 0.5	
obligatio may con	Form 5 obligations may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19401(b).30(h) of the Investment Company Act of 1940									
(Print or Type	Responses)									
1. Name and A SALAS PE	2. Issuer Name and Ticker or Trading Symbol SOUTHWALL TECHNOLOGIES				5. Relationship of Reporting Person(s) to Issuer					
		INC /DE/ [SWTX]				(Check all applicable)				
(Last) (First) (Middle) 3788 FABIAN WAY			3. Date of Earliest Transaction (Month/Day/Year) 03/18/2009			X Director Officer (giv below)		6 Owner er (specify		
	4. If Amendment, Date Original Filed(Month/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 						
FALO AL I	CO, CA 94303						Person			
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Securities A	Acquired, Disposed	of, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deem Execution any (Month/Day/Year)		Date, if TransactionAcquired (A) or Code Disposed of (D)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
				Code V	Amount	(D) Price	(Instr. 3 and 4)			
Reminder: Rep	port on a separate line	e for each cl	ass of sec	urities bene	-	-	-			
					inforn requi	nation cont red to resp ays a curre	spond to the colle tained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Ar
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Se
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

Edgar Filing: SALAS PETER E - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr.		Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title
Non-Qualified Stock Stock Option (right to buy)	\$ 0.58	03/18/2009		A		20,000		03/18/2010 <u>(1)</u>	03/18/2019	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
SALAS PETER E 3788 FABIAN WAY PALO ALTO, CA 94303	Х							
Signatures								
By: Mallorie Burak For: Peter I Salas	Ξ.	03/20/20	009					

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

(1) Stock options vest over four years, 25% per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. :Times New Roman;">

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

XENCOR, INC.

Lloyd A. Rowland Senior Vice President and General Counsel

EXHIBIT INDEX

Exhibit No.Description99.1Lease, effective as of January 1, 2015, by and between BF Monrovia, LLC and Xencor, Inc.

4