#### Edgar Filing: CAPITOL FEDERAL FINANCIAL - Form 4

#### CAPITOL FEDERAL FINANCIAL

Form 4

February 21, 2007

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB and

Check this box if no longer subject to

Washington, D.C. 20549

Number: 3235-0287 Expires: January 31,

**OMB APPROVAL** 

subject to Section 16. Form 4 or STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires. 2005
Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

Issuer

16,903

I

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

See Instruction 1(b).

(Print or Type Responses)

DICUS JOHN C

common stock

1. Name and Address of Reporting Person \*

			CAPITOL FEDERAL FINANCIAL [cffn]			(Check all applicable)					
(Last) 700 SOUTH	(First) H KANSAS AV	(Middle) ENUE	3. Date of (Month/E) 02/20/2	•			X Director 10% Owner Officer (give title below) Other (specify below)				
TOPEKA, F	(Street)		4. If Amendment, Date (Filed(Month/Day/Year)		_	-		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
		(Zin)						Person			
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									·		
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	) Execution any	emed on Date, if Day/Year)	n Date, if Transaction(A) or Disposed Code (D)		of	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
CEEN				Code V	7 Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
CFFN common stock	02/20/2007			J <u>(1)</u>	25,000	D	\$0	320,038	D		
CFFN commn stock	02/20/2007			J <u>(2)</u>	25,000	A	\$ 0	252,500	I	by Spouse	
CFFN											

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**ESOP** 

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	S	ate	7. Title and of Underlyin Securities (Instr. 3 and	ng	8. Price Derivati Security (Instr. 5
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
CFFN phantom stock 2006	\$ 32.94					(3)	01/25/2009	CFFN common stock	4,254	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

DICUS JOHN C 700 SOUTH KANSAS AVENUE X TOPEKA, KS 66603

## **Signatures**

James D. Wempe, Power of Attorney

02/21/2007

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transfer to spouse's trust
- (2) Transfer from spouse's trust
- (3) Phantom stock units were acquired under the Company's Deferred Incentive Bonus Plan. The units are deemed sold and settled in cash three years from date of acquisition.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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