

ABERDEEN EMERGING MARKETS SMALLER Co OPPORTUNITIES FUND, INC.

Form 3

April 07, 2017

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *Â CITY OF LONDON
INVESTMENT GROUP PLC

(Last) (First) (Middle)

77 GRACECHURCH
STREET, Â LONDON
ENGLAND

(Street)

LONDON, Â X0Â EC3V0AS

(City) (State) (Zip)

2. Date of Event Requiring
Statement(Month/Day/Year)
03/28/2017

3. Issuer Name and Ticker or Trading Symbol

ABERDEEN EMERGING MARKETS SMALLER Co
OPPORTUNITIES FUND, INC. [ABE]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☒ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)6. Individual or Joint/Group
Filing(Check Applicable Line)
☐ Form filed by One Reporting
Person
☒ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock, par value \$.01 per share ⁽¹⁾	291,479 ⁽¹²⁾	I	As investment adviser to a private investment fund ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽²⁾	149,487 ⁽¹²⁾	I	As investment adviser to a private investment fund ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽³⁾	284,179 ⁽¹²⁾	I	As investment adviser to a private investment fund ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽⁴⁾	289,929 ⁽¹²⁾	I	As investment adviser to a private investment fund ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽⁵⁾	221,327 ⁽¹²⁾	I	As investment adviser to a private investment fund ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽⁶⁾	168,705 ⁽¹²⁾	I	

			As investment adviser to a Dublin, Ireland-listed open-ended investment company ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽⁷⁾	201,701 ⁽¹²⁾	I	As investment adviser to a private investment fund ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽⁸⁾	287,335 ⁽¹²⁾	I	As investment adviser to a private investment fund ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽⁹⁾	73,865 ⁽¹²⁾	I	As investment adviser to a private investment fund ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽¹⁰⁾	50,000 ⁽¹²⁾	I	As investment adviser to a private investment fund ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽¹¹⁾	1,962,879 ⁽¹²⁾	I	As investment adviser to unaffiliated third-party segregated accounts ⁽¹³⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CITY OF LONDON INVESTMENT GROUP PLC 77 GRACECHURCH STREET LONDON ENGLAND LONDON, Â X0Â EC3V0AS	Â	Â X	Â	Â
CITY OF LONDON INVESTMENT MANAGEMENT CO LTD 77 GRACECHURCH STREET LONDON ENGLAND LONDON, Â X0Â EC3V0AS	Â	Â X	Â	Â

Signatures

/s/ Barry Olliff, Director - City of London Group PLC

04/07/2017

__Signature of Reporting Person

Date

/s/ Barry Olliff, Director - City of London Investment Management

04/07/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These securities are beneficially owned by Emerging (BMI) Markets Country Fund.
- (2) These securities are beneficially owned by Emerging Markets Free Fund.
- (3) These securities are beneficially owned by Emerging Markets Global Fund.
- (4) These securities are beneficially owned by Emerging Markets Investable Fund.
- (5) These securities are beneficially owned by Global Emerging Markets Fund.
- (6) These securities are beneficially owned by The Emerging World Fund.
- (7) These securities are beneficially owned by Emerging Free Markets Country Fund.
- (8) These securities are beneficially owned by Emerging Markets Country Fund.
- (9) These securities are beneficially owned by Investable Emerging Markets Country Fund.
- (10) These securities are beneficially owned by The EM Plus CEF Fund.
- (11) These securities are beneficially owned by 14 unaffiliated third-party segregated accounts.
- (12) No one direct beneficial owner of the reported securities owns more than 5% of the outstanding securities of Issuer.

- As of the date hereof, City of London Group PLC ("CLIG"), through its control of City of London Investment Management Company Limited ("CLIM"), and CLIM, in its capacity as investment adviser to the funds listed above and the 14 unaffiliated third-party segregated accounts, have voting and dispositive power with respect to all of the reported securities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.