### Edgar Filing: EAST WEST BANCORP INC - Form 3

#### EAST WEST BANCORP INC

Form 3

March 03, 2016

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

OMB Number:

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SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement EAST WEST BANCORP INC [EWBC] TEO GARY (Month/Day/Year) 02/23/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 135 N. LOS ROBLES AVE 7TH (Check all applicable) **FLR** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Senior Vice President Person PASADENA, CAÂ 91101 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock D 2,300 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 5. 6. Nature of Indirect (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial (Month/Day/Year) **Derivative Security** or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5) Derivative Security: Title Direct (D) Security

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Ratable-Vesting Restricted Stock Units	(1)	03/07/2016	Common Stock	660	\$ <u>(2)</u>	D	Â
Cliff-Vesting Restricted Stock Units	(3)	03/07/2017	Common Stock	1,492	\$ <u>(2)</u>	D	Â
Cliff-Vesting Restricted Stock Units	(4)	02/27/2018	Common Stock	7,509	\$ <u>(2)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
TEO GARY 135 N. LOS ROBLES AVE 7TH FLR PASADENA, CA 91101	Â	Â	Senior Vice President	Â		

Date

## **Signatures**

/s/ Felicia Yu, as Attorney-in-fact 03/03/2016

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units ("RSU") granted on March 7, 2013 will vest in three equal annual installments on March 7, 2014, March 7, 2015 and March 7, 2016.
- (2) Each RSU represents the right to receive, at settlement, one share of common stock.
- (3) RSU granted on March 7, 2014 fully vest after three years on March 7, 2017.
- (4) RSU granted on February 27, 2015 fully vest after three years on February 27, 2018.

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#### **Remarks:**

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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