### Edgar Filing: ENTERPRISE FINANCIAL SERVICES CORP - Form 5

#### ENTERPRISE FINANCIAL SERVICES CORP

Form 5

January 31, 2008

# FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

2. Issuer Name and Ticker or Trading

Expires. 2005
Estimated average burden hours per response... 1.0

3235-0362

January 31,

**OMB** 

5. Relationship of Reporting Person(s) to

Issuer

Number:

Expires:

1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
Reported
Form 4 30(h) of the Investment Company Act of 1940

Symbol

Transactions Reported

LEUCK RICHARD C

1. Name and Address of Reporting Person \*

LEUCK RIC	CHARDC	ENTE	ENTERPRISE FINANCIAL SERVICES CORP [EFSC]				(Check all applicable)				
(Last)	(First) (M	(Month/	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2007				Director 10% Owner X_ Officer (give title Other (specify below) below)				
150 N. MER	AMEC	12/31/2	2007				Reg	gional President			
	(Street)		endment, Date (onth/Day/Year)	Original		or Joint/Group Reporting					
ST. LOUIS,2	MO 63105						_X_ Form Filed by Form Filed by Person	One Reporting P More than One R			
(City)	(State) (	Zip) Tab	ole I - Non-Deri	vative Sec	curitie	s Acqu	ired, Disposed o	of, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or		))	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
				Amount	(D)	Price	(111str. 3 and 4)				
Common Stock	Â	Â	Â	Â	Â	Â	9,362	D	Â		
Common Stock	Â	Â	Â	Â	Â	Â	9,101	I	EBSP III LLC		
Common Stock	Â	Â	Â	Â	Â	Â	3,000 (1)	D	Â		
Common Stock	Â	Â	Â	Â	Â	Â	7,000	I	Self-IRA		

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Common Stock	Â	Â	Â	Â	Â	Â	7,500	I	Spouse Trust
Common Stock	Â	Â	Â	Â	Â	Â	35,048	I	By Trust
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.			Persons wh contained in the form dis	SEC 2270 (9-02)					

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Option (right to buy)	\$ 15	Â	Â	Â	Â	Â	09/01/2000	09/01/2010	Common Stock	7,000
Incentive Stock Option (right to buy)	\$ 11.75	Â	Â	Â	Â	Â	07/01/2001	07/01/2011	Common Stock	8,000
Incentive Stock Option (right to buy)	\$ 10	Â	Â	Â	Â	Â	08/28/2002	08/28/2012	Common Stock	8,000
Incentive Stock Option (right to buy)	\$ 13.4	Â	Â	Â	Â	Â	05/13/2003	05/13/2013	Common Stock	12,500
Restricted Share Units	Â	Â	Â	Â	Â	Â	(3)	(3)	Common Stock	7,064

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

LEUCK RICHARD C

150 N. MERAMEC Â Â Regional President Â

ST. LOUIS, MOÂ 63105

## **Signatures**

Richard C. 01/31/2008 Leuck

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are held jointly with spouse.
- (2) The RSUs were granted pursuant to the Company's 2002 Stock Incentive Plan. Each RSU represents the right to receive one share of Common Stock, subject to adjustment as provided in the Grant Agreement.

The RSUs vest at a rate of 20% annually over five years, subject to continued employment of the reporting person. Vesting occurs on (3) December 15 of each year, commencing in the calendar year of the grant. On each vesting date, for each RSU vesting on such date, the reporting person will receive one share of Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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