

STANZIONE DANIEL
Form 4
September 07, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
STANZIONE DANIEL

2. Issuer Name and Ticker or Trading Symbol
QUEST DIAGNOSTICS INC
[DGX]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
09/05/2017

Director 10% Owner
 Officer (give title below) Other (specify below)

500 PLAZA DRIVE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

SECAUCUS, NJ 07094

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				(A) or (D)	Price			
Common Stock	09/05/2017		M ⁽¹⁾	684	A	\$ 51.55	35,359	D
Common Stock	09/05/2017		M ⁽¹⁾	681	A	\$ 55.76	36,040	D
Common Stock	09/05/2017		M ⁽¹⁾	519	A	\$ 57.67	36,559	D
Common Stock	09/05/2017		M ⁽¹⁾	915	A	\$ 57.565	37,474	D
Common Stock	09/05/2017		M ⁽¹⁾	1,004	A	\$ 61.225	38,478	D

Edgar Filing: STANZIONE DANIEL - Form 4

Common Stock	09/05/2017		<u>S</u> ⁽¹⁾	3,803	D	\$ <u>108.01</u> ⁽²⁾	34,675	D
--------------	------------	--	-------------------------	-------	---	---------------------------------------	--------	---

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares	9. Deemed Cost of Derivative Security (Instr. 3)
Stock Options (Right to Buy)	\$ 51.55	09/05/2017		<u>M</u> ⁽¹⁾	684	<u>(3)</u> 05/14/2019	Common Stock	684	\$
Stock Options (Right to Buy)	\$ 55.76	09/05/2017		<u>M</u> ⁽¹⁾	681	<u>(4)</u> 05/06/2020	Common Stock	681	\$
Stock Options (Right to Buy)	\$ 57.665	09/05/2017		<u>M</u> ⁽¹⁾	519	<u>(5)</u> 05/17/2021	Common Stock	519	\$
Stock Options (Right to Buy)	\$ 57.565	09/05/2017		<u>M</u> ⁽¹⁾	915	<u>(6)</u> 05/11/2022	Common Stock	915	\$
Stock Options (Right to Buy)	\$ 61.225	09/05/2017		<u>M</u> ⁽¹⁾	1,004	<u>(7)</u> 05/21/2023	Common Stock	1,004	\$

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
STANZIONE DANIEL 500 PLAZA DRIVE SECAUCUS, NJ 07094		X		

Signatures

/s/ William J. O'Shaughnessy, Jr., Attorney in Fact for Daniel Stanzione

09/07/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This exercise and sale reported were effected pursuant to a Rule 10b5-1 sales plan adopted by the reporting person on August 3, 2017. This transaction was executed in multiple trades at prices ranging from \$108.00 to \$108.02. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (2) The options vested in three annual installments beginning with the first on May 14, 2010, the second on May 14, 2011 and the final on May 14, 2012.
- (3) The options vested in three annual installments beginning with the first on May 6, 2011, the second on May 6, 2012 and the final on May 6, 2013.
- (4) The options vested in three annual installments beginning with the first on May 17, 2012, the second on May 17, 2013 and the final on May 17, 2014.
- (5) The options vested in three annual installments beginning with the first on May 11, 2013, the second on May 11, 2014 and the final on May 11, 2015.
- (6) The options vested in three annual installments beginning with the first on May 21, 2014, the second on May 21, 2015 and the final on May 21, 2016.
- (7)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.