

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-B

SWISS HELVETIA FUND, INC.

Form NSAR-B

February 27, 2015

PAGE 1
000 B000000 12/31/2014
000 C000000 0000813623
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 The Swiss Helvetia Fund, Inc.
001 B000000 811-05128
001 C000000 8007302932
002 A000000 875 Third Avenue, 22nd Floor
002 B000000 New York
002 C000000 NY
002 D010000 10022
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
008 A000001 SCHRODER INVESTMENT MANAGEMENT N.A. INC.
008 B000001 A
008 C000001 801-15834
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10022
008 A000002 SCHRODER INVESTMENT MANAGEMENT N.A. LTD.
008 B000002 S
008 C000002 801-37163
008 D010002 LONDON
008 D050002 UNITED KINGDOM
008 D060002 EC2V 7QA
010 A000001 CITI FUND SERVICES OHIO, INC.
010 B000001 085-11408
010 C010001 COLUMBUS
010 C020001 OH
010 C030001 43219
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY
012 B000001 84-00416
012 C010001 NEW YORK
012 C020001 NY
012 C030001 10038
013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 PHILADELPHIA
013 B020001 PA
013 B030001 19103
014 A000001 SCHRODER FUND ADVISORS LLC
014 B000001 8-40973
015 A000001 CITIBANK, N.A.
PAGE 2
015 B000001 S
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10043
015 E040001 X
018 000000 Y

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-B

019 A000000 N
 019 B000000 0
 020 A000001 UBS Group AG
 020 B000001 13-2932996
 020 C000001 73
 020 A000002 Bryan Garnier Securities LLC
 020 B000002 90-0519662
 020 C000002 56
 020 A000003 Mainfirst Bank
 020 B000003 42-1768153
 020 C000003 50
 020 A000004 Morgan Stanley
 020 B000004 97-1671384
 020 C000004 47
 020 A000005 Jefferies & Co.
 020 B000005 95-2622900
 020 C000005 47
 020 A000006 Merrill Lynch
 020 B000006 13-3180818
 020 C000006 42
 020 A000007 Vontobel
 020 B000007 13-4350122
 020 C000007 41
 020 A000008 Sanford Bernstein & Co.
 020 B000008 13-3625874
 020 C000008 41
 020 A000009 JP Morgan Securities
 020 B000009 04-1867445
 020 C000009 38
 020 A000010 Bank am Bellevue
 020 B000010 0
 020 C000010 29
 021 000000 614
 023 C000000 485
 023 D000000 878
 024 000000 Y
 025 A000001 UBS Group AG
 025 B000001 13-2932996
 025 C000001 E
 025 D000001 9296
 026 A000000 N
 026 B000000 Y
 026 C000000 N
 026 D000000 Y
 026 E000000 N
 PAGE 3
 026 F000000 N
 026 G010000 N
 026 G020000 N
 026 H000000 N
 027 000000 N
 045 000000 Y
 046 000000 N
 047 000000 Y
 048 000000 0.000
 048 A010000 250000
 048 A020000 0.700
 048 B010000 350000
 048 B020000 0.600
 048 C010000 450000
 048 C020000 0.550
 048 D010000 550000

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-B

| | | | |
|-----|---------|-------|--------|
| 048 | D020000 | 0.500 | |
| 048 | E010000 | | 0 |
| 048 | E020000 | 0.000 | |
| 048 | F010000 | | 0 |
| 048 | F020000 | 0.000 | |
| 048 | G010000 | | 0 |
| 048 | G020000 | 0.000 | |
| 048 | H010000 | | 0 |
| 048 | H020000 | 0.000 | |
| 048 | I010000 | | 0 |
| 048 | I020000 | 0.000 | |
| 048 | J010000 | | 0 |
| 048 | J020000 | 0.000 | |
| 048 | K010000 | | 550000 |
| 048 | K020000 | 0.450 | |
| 049 | 000000 | N | |
| 050 | 000000 | N | |
| 051 | 000000 | N | |
| 052 | 000000 | N | |
| 053 | A000000 | N | |
| 054 | A000000 | Y | |
| 054 | B000000 | N | |
| 054 | C000000 | N | |
| 054 | D000000 | N | |
| 054 | E000000 | N | |
| 054 | F000000 | N | |
| 054 | G000000 | N | |
| 054 | H000000 | Y | |
| 054 | I000000 | N | |
| 054 | J000000 | Y | |
| 054 | K000000 | N | |
| 054 | L000000 | N | |
| 054 | M000000 | Y | |
| 054 | N000000 | N | |
| 054 | O000000 | N | |
| | PAGE | 4 | |
| 055 | A000000 | N | |
| 055 | B000000 | N | |
| 056 | 000000 | Y | |
| 057 | 000000 | N | |
| 058 | A000000 | N | |
| 059 | 000000 | Y | |
| 060 | A000000 | N | |
| 060 | B000000 | N | |
| 061 | 000000 | | 0 |
| 062 | A000000 | N | |
| 062 | B000000 | | 0.0 |
| 062 | C000000 | | 0.0 |
| 062 | D000000 | | 0.0 |
| 062 | E000000 | | 0.0 |
| 062 | F000000 | | 0.0 |
| 062 | G000000 | | 0.0 |
| 062 | H000000 | | 0.0 |
| 062 | I000000 | | 0.0 |
| 062 | J000000 | | 0.0 |
| 062 | K000000 | | 0.0 |
| 062 | L000000 | | 0.0 |
| 062 | M000000 | | 0.0 |
| 062 | N000000 | | 0.0 |
| 062 | O000000 | | 0.0 |
| 062 | P000000 | | 0.0 |
| 062 | Q000000 | | 0.0 |

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-B

| | | |
|-----|---------|--------|
| 062 | R000000 | 0.0 |
| 066 | A000000 | Y |
| 066 | B000000 | N |
| 066 | C000000 | Y |
| 066 | D000000 | N |
| 066 | E000000 | N |
| 066 | F000000 | N |
| 066 | G000000 | N |
| 067 | 000000 | N |
| 068 | A000000 | N |
| 068 | B000000 | Y |
| 069 | 000000 | N |
| 070 | A010000 | Y |
| 070 | A020000 | N |
| 070 | B010000 | Y |
| 070 | B020000 | N |
| 070 | C010000 | Y |
| 070 | C020000 | N |
| 070 | D010000 | Y |
| 070 | D020000 | N |
| 070 | E010000 | N |
| 070 | E020000 | N |
| 070 | F010000 | N |
| 070 | F020000 | N |
| 070 | G010000 | N |
| | PAGE | 5 |
| 070 | G020000 | N |
| 070 | H010000 | N |
| 070 | H020000 | N |
| 070 | I010000 | N |
| 070 | I020000 | N |
| 070 | J010000 | Y |
| 070 | J020000 | Y |
| 070 | K010000 | Y |
| 070 | K020000 | Y |
| 070 | L010000 | Y |
| 070 | L020000 | Y |
| 070 | M010000 | Y |
| 070 | M020000 | Y |
| 070 | N010000 | Y |
| 070 | N020000 | N |
| 070 | O010000 | Y |
| 070 | O020000 | N |
| 070 | P010000 | N |
| 070 | P020000 | N |
| 070 | Q010000 | N |
| 070 | Q020000 | N |
| 070 | R010000 | N |
| 070 | R020000 | N |
| 071 | A000000 | 197102 |
| 071 | B000000 | 333905 |
| 071 | C000000 | 411797 |
| 071 | D000000 | 48 |
| 072 | A000000 | 12 |
| 072 | B000000 | 0 |
| 072 | C000000 | 8222 |
| 072 | D000000 | 0 |
| 072 | E000000 | 0 |
| 072 | F000000 | 3032 |
| 072 | G000000 | 296 |
| 072 | H000000 | 0 |
| 072 | I000000 | 44 |

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-B

| | |
|-------------|------|
| 072 J000000 | 78 |
| 072 K000000 | 0 |
| 072 L000000 | 139 |
| 072 M000000 | 583 |
| 072 N000000 | 0 |
| 072 O000000 | 0 |
| 072 P000000 | 0 |
| 072 Q000000 | 110 |
| 072 R000000 | 153 |
| 072 S000000 | 1239 |
| 072 T000000 | 0 |
| 072 U000000 | 0 |
| 072 V000000 | 0 |
| 072 W000000 | 322 |
| 072 X000000 | 5996 |

PAGE 6

| | |
|-------------|--------|
| 072 Y000000 | 0 |
| 072 Z000000 | 2226 |
| 072AA000000 | 63270 |
| 072BB000000 | 0 |
| 072CC010000 | 0 |
| 072CC020000 | 75130 |
| 072DD010000 | 1062 |
| 072DD020000 | 0 |
| 072EE000000 | 62264 |
| 073 A010000 | 0.0400 |
| 073 A020000 | 0.0000 |
| 073 B000000 | 2.3370 |
| 073 C000000 | 0.0000 |
| 074 A000000 | 58862 |
| 074 B000000 | 0 |
| 074 C000000 | 0 |
| 074 D000000 | 0 |
| 074 E000000 | 3085 |
| 074 F000000 | 313931 |
| 074 G000000 | 0 |
| 074 H000000 | 0 |
| 074 I000000 | 14963 |
| 074 J000000 | 0 |
| 074 K000000 | 0 |
| 074 L000000 | 120 |
| 074 M000000 | 8560 |
| 074 N000000 | 399521 |
| 074 O000000 | 0 |
| 074 P000000 | 212 |
| 074 Q000000 | 0 |
| 074 R010000 | 0 |
| 074 R020000 | 0 |
| 074 R030000 | 0 |
| 074 R040000 | 58852 |
| 074 S000000 | 0 |
| 074 T000000 | 340457 |
| 074 U010000 | 26648 |
| 074 U020000 | 0 |
| 074 V010000 | 12.78 |
| 074 V020000 | 0.00 |
| 074 W000000 | 0.0000 |
| 074 X000000 | 476 |
| 074 Y000000 | 0 |
| 075 A000000 | 0 |
| 075 B000000 | 427975 |
| 076 000000 | 11.14 |

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-B

077 A000000 Y
077 B000000 Y
077 C000000 N
077 D000000 N
077 E000000 N
PAGE 7
077 F000000 N
077 G000000 N
077 H000000 N
077 I000000 N
077 J000000 N
077 K000000 N
077 L000000 N
077 M000000 N
077 N000000 N
077 O000000 N
077 P000000 N
077 Q010000 N
077 Q020000 N
077 Q030000 N
078 000000 N
080 A000000 ICI Mutual Insurance Company
080 C000000 750
081 A000000 N
081 B000000 0
082 A000000 N
082 B000000 0
083 A000000 N
083 B000000 0
084 A000000 N
084 B000000 0
085 A000000 Y
085 B000000 N
086 A010000 698
086 A020000 9900
086 B010000 4579
086 B020000 68372
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 THE SWISS HELVETIA FUND, INC.
087 A020000 870875101
087 A030000 SWZ
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE Scott Rhodes
TITLE Assistant Treasurer