

Edgar Filing: THERMOGENESIS CORP - Form 4

THERMOGENESIS CORP
 Form 4
 May 16, 2002
 FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*/			2. Issuer Name and Ticker		/ 6. Relationship	
			/ or Trading Symbol		/ (Check all	
			/		/	
			/		/	
			/		/	
			/		/	
BROWNE, SPENCER			/ THERMOGENESIS CORP. ("KOOL")		/	
			/		/	
-----/-----/-----/			-----/-----/-----/		-----/-----/-----/	
(Last)	(First)	(Middle)	/ 3. IRS or	/ 4. Statement for	/ 7. Individual	
			/ Social Security	/ (Month/Year)	/ (Check Appl	
			/ Number of	/	/	
			/ Reporting Person	/ 04/2002	/ X Form fi	
c/o THERMOGENESIS CORP.			/		/	
3146 Gold Camp Drive			/		/	
-----/-----/-----/			/		/	
(Street)			/	/ 5. If Amendment,	/	----- Form fi
			/	/ Date of	/	Reporti
			/	/ Original	/	
			/	/ (Month/Year)	/	
Rancho Cordova,	CA	95670	/	/	/	
-----/-----/-----/			/		/	
(City)	(State)	(Zip)	/	/	/	

TABLE I - NONDERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIA

1. Title	/2. Trans-	/3. Transaction	/ 4. Securities Acquired (A)			/ 5. Amount of
of	/ action	/ Code	/ or Disposed of (D)			Securities
Security	/ Date	/ (Instr. 8)	/ (Instr. 3,4 and 5)			Beneficially
(Inst.3)	/ (Mo/Day/Yr)	/ Code	/ Amount			Owned at End
	/	/ V	/ (A) or (D)/ Price			of Month
	/	/	/			(Inst. 3 and 4)
Common Stock	04/23/02	M	40,000	A	\$1.875	

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Common Stock 04/23/02 F 30,242 D \$2.48 381,635

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly by the reporting person.
 *If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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FORM 4 (continued)

TABLE II - DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Con- version or exercise Price of of Deriv- ative Secu- rity	3. Trans- action Date (Mo. Year)	4. Trans- action Code (Inst.8)	5. Number of Derivative/ Securities/ Acquired (A) or Disposed of (D) (Inst.3,4,5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3,4)	8. Price of Deriv- ative Secu- rity (Instr. 3,4)
Options to Purchase Common Stock	\$1.875	04/23/02	M	40,000	Immediately	12/15/03 Common Stock	40,000 --

Explanation of Responses:

/s/ SPENCER BROWNE
 Spencer Browne

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

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Note: File three copies of this Form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.