

Edgar Filing: BRUECK STEVEN R J - Form 4

BRUECK STEVEN R J  
Form 4  
October 17, 2002

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FORM 4  
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OMB APPROVAL  
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OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden  
hours per response ..... 0.5  
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(h) of the Investment Company Act of 1940  
[ ] Check this box if no longer subject of Section 16. Form 4 or Form 5  
obligations may continue. See Instruction 1(b).

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1. Name and Address of Reporting Person\*

Brueck	Steven	
-----	-----	-----
(Last)	(First)	(Middle)
2603 Challenger Tech Ct. Suite 100		
-----		
(Street)		
Orlando	FL	32826
-----	-----	-----
(City)	(State)	(Zip)

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2. Issuer Name AND Ticker or Trading Symbol

LPTH, LightPath Technologies, Inc.

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3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)

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4. Statement for Month/Day/Year

October 2002

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5. If Amendment, Date of Original (Month/Day/Year)

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6. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

<input checked="" type="checkbox"/> Director	<input type="checkbox"/> 10% Owner
<input type="checkbox"/> Officer (give title below)	<input type="checkbox"/> Other (specify below)

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7. Individual or Joint/Group Filing (Check applicable line)

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☒ Form filed by One Reporting Person  
☐ Form filed by More Than one Reporting Person

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Form 4 (continued)

Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

									5.
									Amount
									of
									Secur-
								4.	ities
								Securities	Bene-
								Acquired (A)	ficial
								or Disposed	Owned
		2A.	3.					of (D) (Instr.	Follow
		Deemed	Transaction					3, 4 and 5)	Report
		Execution	Code					-----	Trans-
1.	2.	Date, if	(Instr. 8)					(A)	action
Title of Security	Date (Month/	any (Month/	-----					Amount	(Instr
(Instr. 3)	Day/Year)	Day/Year)	Code   V					(D)	3 and

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Form 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

1.	Title of Derivative	Deriv- ative	Date (Month/ Day/Year)	if any (Month/ Day/Year)	(Instr. 8)	(Instr.3, 4 and 5)	Expiration Date	(Month/Day/Year)	or	Amount Number	Deriv- ative	Secur- ity	Price of	(Instr. 3 and 4)	Underlying Securities	6.	Date	Exercisable and	Dispos- ed	Code	Trans- action	4.	Deemed	3A.	Date	3.	Trans- action	Price	Conver- sion	of	Exer- cise	2.	Deriv- ative	Secur- ities	5.	Number of	7.	Title and Amount of	8.

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Security (Instr. 3)	Secur-  ity	Day/  Year)	Day/  Year)	-----  Code V	-----  (A)   (D)	Exer-  cisable	tion  Date	of  Title	Shares	(Instr.  5)
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Stock Option	\$.295	10/16/02		A	4000	11/2002 To 10/2003	10/2012	Common Stock	4000	
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## Explanation of Responses:

/s/ Steven Brueck	10/17/02
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**Signature of Reporting Person	Date

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedures.