

ALLIANCE ONE INTERNATIONAL, INC.

Form 3

January 08, 2014

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â Fink Nicholas A

(Last) (First) (Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

01/01/2014

3. Issuer Name and Ticker or Trading Symbol

ALLIANCE ONE INTERNATIONAL, INC. [AOI]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

Director  10% Owner

Officer  Other

(give title below) (specify below)

VP- Corp. Controller & CCO

6. Individual or Joint/Group

Filing(Check Applicable Line)

Form filed by One Reporting Person

Form filed by More than One Reporting Person

C/O ALLIANCE ONE INT'L INC.,Â 8001 AERIAL CENTER PARKWAY

(Street)

MORRISVILLE,Â NCÂ 27560

(City) (State) (Zip)

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Stock (Direct)

2,595

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right-to-buy)	03/24/2012 <sup>(1)</sup>	03/24/2021	Common Stock (Direct)	10,000	\$ 6	D	Â
Stock Option (right-to-buy)	04/17/2013 <sup>(2)</sup>	04/17/2022	Common Stock (Direct)	40,000	\$ 6	D	Â
Restricted Stock Units	06/12/2014	Â <sup>(3)</sup>	Common Stock (Direct)	6,000	\$ <sup>(4)</sup>	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Fink Nicholas A C/O ALLIANCE ONE INT'L INC. 8001 AERIAL CENTER PARKWAY MORRISVILLE, NC 27560	Â	Â	Â VP- Corp. Controller & CCO	Â

## Signatures

LAURA D. JONES,  
ATTORNEY-IN-FACT

01/08/2014

    Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares underlying this option became exercisable one-fifth on this date, and the remaining underlying shares become exercisable one-fifth annually thereafter.
- (2) The shares underlying this option become exercisable one-fifth on each of the five anniversaries of April 17, 2013, the date of grant, subject to continued employment or termination of employment due to retirement.
- (3) Shares awarded under the Alliance One International, Inc. Long-Term Incentive Plan. 3-year cliff vesting: 100% at third anniversary of 6/12/14, the date of grant.
- (4) Each restricted stock unit represents a contingent right to receive one share of Alliance One International, Inc. common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.