

LEVIN JOHN A
Form 4
September 21, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LEVIN CAPITAL STRATEGIES, L.P.

(Last) (First) (Middle)

595 MADISON AVENUE, 17TH FLOOR

(Street)

NEW YORK, NY 10022

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
FLUIDIGM CORP [FLDM]

3. Date of Earliest Transaction (Month/Day/Year)
09/17/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___X___ 10% Owner
___ Officer (give title below) ___X___ Other (specify below)
Passive Investor

6. Individual or Joint/Group Filing(Check Applicable Line)
___ Form filed by One Reporting Person
X Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|--|--------------------------------------|--|--------------------------------|---|---|--|---|--|
| | | | | (A) or (D) | Price | | | |
| Common Stock ⁽¹⁾ ₍₂₎ | 09/17/2018 | | J | 3,015 | D | 11,293,818 | I | By: Managed Accounts of Levin Capital Strategies, L.P. ⁽³⁾ ₍₄₎ |
| Common Stock ⁽¹⁾ ₍₂₎ | 09/19/2018 | | P | 40 | A | \$ 8.2199 11,293,858 | I | By: Managed Accounts of Levin Capital Strategies, L.P. ⁽³⁾ ₍₄₎ |
| Common Stock ⁽¹⁾ | | | | | | 95,606 | I | By: Bi-Directional |

| | | | | | | |
|---------------------------------------|--|-----------|---|--|--|--|
| <u>(2)</u> | | | | | | Disequilibrium Fund, L.P. <u>(6)</u> |
| Common Stock <u>(1)</u> <u>(2)</u> | | 1,305,269 | I | | | By: Transamerica Large Cap Value Fund <u>(5)</u> |
| Common Stock <u>(1)</u> <u>(2)</u> | | 56,820 | I | | | By: Levcap Alternative Fund, L.P. <u>(7)</u> |
| Common Stock <u>(1)</u> <u>(2)</u> | | 19,818 | I | | | By: Safinia Partners, L.P. <u>(8)</u> |
| Common Stock <u>(1)</u> <u>(2)</u> | | 5,000 | I | | | By: Spouse of John A. Levin <u>(9)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|------------------|
| | Director | 10% Owner | Officer | Other |
| LEVIN CAPITAL STRATEGIES, L.P. 595 MADISON AVENUE 17TH FLOOR | | X | | Passive Investor |

NEW YORK, NY 10022

Levin Capital Strategies GP, LLC
 595 MADISON AVENUE
 17TH FLOOR
 NEW YORK, NY 10022

X Passive Investor

Bi-Directional Disequilibrium Fund, L.P.
 595 MADISON AVENUE
 17TH FLOOR
 NEW YORK, NY 10022

X Passive Investor

Levcap Alternative Fund, L.P.
 595 MADISON AVENUE
 17TH FLOOR
 NEW YORK, NY 10022

X Passive Investor

LCS Event Partners, LLC
 595 MADISON AVENUE
 17TH FLOOR
 NEW YORK, NY 10022

X Passive Investor

Safinia Partners, L.P.
 595 MADISON AVENUE
 17TH FLOOR
 NEW YORK, NY 10022

X Passive Investor

LCS L/S, LLC
 595 MADISON AVENUE
 17TH FLOOR
 NEW YORK, NY 10022

X Passive Investor

LEVIN JOHN A
 595 MADISON AVENUE
 17TH FLOOR
 NEW YORK, NY 10020

X Passive Investor

Signatures

Levin Capital Strategies, L.P.; By: /s/ John A. Levin, Chief Executive Officer

09/21/2018

__Signature of Reporting Person

Date

Levin Capital Strategies GP, LLC; By: John A. Levin 2005 GRAT Separation Trust; By: /s/
 Elisabeth Levin, Trustee

09/21/2018

__Signature of Reporting Person

Date

Bi-Directional Disequilibrium Fund, L.P.; By: LCS; By: /s/ John A. Levin, Managing
 Member

09/21/2018

__Signature of Reporting Person

Date

Levcap Alternative Fund, L.P.; By: LCS Event Partners, LLC; By: /s/ John A. Levin,
 Managing Member

09/21/2018

__Signature of Reporting Person

Date

LCS Event Partners, LLC; By: /s/ John A. Levin, Managing Member

09/21/2018

__Signature of Reporting Person

Date

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