

Edgar Filing: THOMAS ROGER W - Form 4

THOMAS ROGER W  
 Form 4  
 July 03, 2002

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 FORM 4  
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

// CHECK THIS BOX IF NO  
 LONGER SUBJECT TO  
 SECTION 16. FORM 4 OR  
 FORM 5 OBLIGATIONS MAY  
 CONTINUE. SEE  
 INSTRUCTION 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934  
 Section 17(a) of the Public Utility Holding Company Act of 1935  
 Section 30(f) of the Investment Company Act of 1940

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|  |  |                             |
|--|--|-----------------------------|
| 1. Name and Address of Reporting Person* | 2. Issuer Name AND Ticker or Trading Symbol                      | 6. R                        |
| Thomas Roger W.                          | Mack-Cali Realty Corporation (CLI)                               | --                          |
| (Last) (First) (Middle)                  | 3. IRS or Social Security Number of Reporting Person (Voluntary) | 4. Statement for Month/Year |
| c/o Mack-Cali Realty Corporation         |  | 06/02                       |
| 11 Commerce Drive                        |  |                             |
| (Street)                                 |  | 7.                          |
|  | 5. If Amendment, Date of Original (Month/Year)                   | X                           |
|  |  | ----                        |
| Cranford, New Jersey 07016               |  | ----                        |

(City) (State) (Zip)

TABLE I - NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED, OR TRANSFERRED

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount, Number, or Price of Securities Acquired, Disposed of, or Transferred (Instr. 3, 4 and 5) |
|---------------------------------|--------------------------------------|--------------------------------|---|---|
|                                 |                                      | Code                           | V   | Amount (A) or Price (D)   |
| Common Stock                    | 6/24/02                              | M(1)                           |   | 5,000 A \$26.8125   |
| Common Stock                    | 6/24/02                              | S(1)                           |   | 5,000 D \$34.6237 48  |

\* If the form is filed by more than one reporting person, SEE Instruction 4(b)(v).  
 Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly

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(Print or Type Responses)

FORM 4 (CONTINUED)

TABLE II - DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL  
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

| 1. Title of Derivative Security<br>(Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D)<br>(Instr. 3, 4, and 5) |
|---|--|--------------------------------------|--------------------------------|--|
|---|--|--------------------------------------|--------------------------------|--|

Code V (A) (D)

|                                      |           |         |      |       |
|--------------------------------------|-----------|---------|------|-------|
| Employee Stock Option (Right to Buy) | \$26.8125 | 6/24/02 | M(1) | 5,000 |
|--------------------------------------|-----------|---------|------|-------|

| 7. Title and Amount of Underlying Securities<br>(Instr. 3 and 4)  | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned at End of Month (Instr. 4) | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |  |  |
|---|--|--|--|--|--|--|
| <table border="0"> <tr> <td style="border-bottom: 1px dashed black; width: 150px;">Title</td> <td style="border-bottom: 1px dashed black;">Amount or Number of Shares</td> </tr> </table> | Title                                      | Amount or Number of Shares   |  |  |  |  |
| Title   | Amount or Number of Shares                 |  |  |  |  |  |

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|              |       |        |   |
|--------------|-------|--------|---|
| Common Stock | 5,000 | 75,000 | D |
|--------------|-------|--------|---|

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Explanation of Responses:

- (1) The reporting person obtained and sold the Common Stock as a result of the cashless exercise of Employee Stock Options.
- (2) On December 5, 2000, the reporting person was granted an option to purchase 100,000 shares of Common Stock. The options vest in five equal annual installments beginning December 31, 2000.

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
SEE 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

|   |        |
|---|--------|
| /s/ Roger W. Thomas                         | 7/3/02 |
| <hr style="border-top: 1px dashed black;"/> |        |
| **Signature of Reporting Person             | Date   |

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient SEE Instruction 6 for procedure.