

Edgar Filing: CALI JOHN R - Form 4

CALI JOHN R
Form 4
October 10, 2001

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

// CHECK THIS BOX IF NO
LONGER SUBJECT TO
SECTION 16. FORM 4 OR
FORM 5 OBLIGATIONS MAY
CONTINUE. SEE
INSTRUCTION 1(b).
(Print or Type Responses)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
Section 17(a) of the Public Utility Holding Company Act of 1935
Section 30(f) of the Investment Company Act of 1940

| | | | | |
|---|------------|----------|--|------|
| 1. Name and Address of Reporting Person* | | | 2. Issuer Name AND Ticker or Trading Symbol | 6. R |
| Cali | John | R. | Mack-Cali Realty Corporation (CLI) | X |
| (Last) | (First) | (Middle) | | -- |
| c/o Mack-Cali Realty Corporation 11 Commerce Drive | | | 3. IRS or Social Security Number of Reporting Person (Voluntary) | -- |
| ----- (Street) | | | 4. Statement for Month/Year 10/01 | 7. |
| Cranford | New Jersey | 07016 | 5. If Amendment, Date of Original (Month/Year) | X |
| (City) | (State) | (Zip) | | |

TABLE I - NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED, OR TRANSFERRED

| 1. Title of Security (Instr. 3) | 2. Trans- action Date (Month/ Day/ Year) | 3. Trans- action Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amo Sec Ben Own End Mon | | | |
|------------------------------------|---|---|---|---|---------------|---------|------------|
| | | Code | V | Amount | (A) or (D) | Price | (In and |
| Common Stock | 9/5/01 | M(1) | | 7,000 | A | \$17.25 | |
| Common Stock | 9/5/01 | S(1) | | 2,100 | D | \$29.25 | |
| Common Stock | 9/5/01 | S(1) | | 1,900 | D | \$29.20 | |
| Common Stock | 9/5/01 | S(1) | | 3,000 | D | \$29.05 | |
| Common Stock | 9/6/01 | M(1) | | 3,000 | A | \$17.25 | |
| Common Stock | 9/6/01 | S(1) | | 3,000 | D | \$29.00 | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly by the reporting person.
 * If the form is filed by more than one reporting person, SEE Instruction 4(b)(v).

FORM 4 (CONTINUED)

TABLE II - DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED
 (E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |
|---|--|---|-----------------------------------|--|
|---|--|---|-----------------------------------|--|

| | | | Code | V | (A) | (D) |
|---|----------|--------|------|---|-----|-------|
| Employee Stock Option (Right to Buy) | \$17.250 | 9/5/01 | M(1) | | | 7,000 |
| Employee Stock Option (Right to Buy) | \$17.250 | 9/6/01 | M(1) | | | 3,000 |
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| 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security | 9. Number of Derivative Securities | 10. Ownership Form of Derivative | 11. Nature of Indirect Beneficial |
|--|---------------------------------|------------------------------------|----------------------------------|-----------------------------------|
|--|---------------------------------|------------------------------------|----------------------------------|-----------------------------------|

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| Title | Amount or Number of Shares | ity (Instr. 5) | Bene- ficially Owned at End of Month (Instr. 4) | Secu- rity: Direct (D) or Indi- rect (I) (Instr. 4) | Own- ership (Instr. 4) |
|--------------|----------------------------------|----------------------|---|---|------------------------------|
| Common Stock | 7,000 | | | D | |
| Common Stock | 3,000 | | 90,900 | D | |
| | | | | | |
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Explanation of Responses:

- (1) The reporting person obtained and sold the Common Stock as a result of the cashless exercise
- (2) On August 31, 1994, the reporting person was granted an option to purchase 200,000 shares of vested in three equal installments beginning December 31, 1994.

/s/ JOHN

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. SEE 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

**Signature of

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, SEE Instruction 6 for procedure.