### Edgar Filing: TRI COUNTY FINANCIAL CORP /MD/ - Form 4

#### TRI COUNTY FINANCIAL CORP /MD/

Form 4 July 19, 2007

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response... 0.5

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * COCKERHAM GREOGORY C			Symbol TRI CO	Name and Ticker or Trading UNTY FINANCIAL CORP	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
(Last)	(First)	(Middle)	3. Date of	Earliest Transaction	Director 10% Owner				
3035 LEONARDTOWN ROAD		(Month/D 07/17/20	•	X Officer (give title Other (specify below)  Exec. V.P. & Chief Lending Off					
	(Street)			ndment, Date Original nth/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
WALDORF, MD 20601					Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Table	e I - Non-Derivative Securities Ac	quired, Disposed	of, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	r) Executi	emed ion Date, if /Day/Year)	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)  (A) or Code V Amount (D) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock				Code V Amount (B) Thee	33,606	D			
Common Stock					24,413 <u>(1)</u>	I	By ESOP		
Common Stock					1,755	I	By IRA		
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.									

**SEC 1474** Persons who respond to the collection of information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

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### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code		5. Numb on Deriv Securitie Acquired (A) or Disposed (D) (Instr. 3, and 5)	ative es d	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 27.7	07/17/2007		A		4,407		07/17/2007	07/17/2017	Common Stock	4,407
Stock Option (Right to Buy)	\$ 7.2							12/31/1998	12/31/2008	Common Stock	8,508
Stock Option (Right to Buy)	\$ 7.88							12/31/1999	12/31/2009	Common Stock	715
Stock Option (Right to Buy)	\$ 7.88							12/31/1999	12/31/2009	Common Stock	2,659
Stock OPtion (Right to Buy)	\$ 7.91							12/31/2000	12/31/2010	Common Stock	3,399
Stock Option (Right to Buy)	\$ 7.85							12/31/2001	12/31/2011	Common Stock	6,750
Stock Option (Right to Buy)	\$ 11.56							12/31/2002	12/31/2012	Common Stock	3,037
Stock Option (Right to	\$ 12.74							02/04/2004	12/31/2013	Common Stock	7,600

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Buy)					
Stock Option (right to buy)	\$ 15.89	12/27/2004	12/27/2014	Common Stock	10,728
Stock Option (Right to Buy)	\$ 22.29	12/19/2005	12/19/2015	Common Stock	5,476

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

COCKERHAM GREOGORY C 3035 LEONARDTOWN ROAD WALDORF, MD 20601

Exec. V.P. & Chief Lending Off

# **Signatures**

/s/ Cockerham, 07/19/2007 Gregory C.

\*\*Signature of Reporting Date

Person

a currently valid OMB number.

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This form reflects increases in beneficial ownership resulting from exempt acquisitions under an ESOP pursuant to Rule 16b-3(c)

  Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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