LIBBEY INC Form 4/A August 03, 2015

## FORM 4

## **OMB APPROVAL**

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

PAIGE TIMOTHY T				Symbol	Y INC [L]		Tradii	ng	Issuer				
	(Last) LIBBEY INC	(First)	(Middle)	3. Date of (Month/D 03/02/20	•	ansaction			(Check all applicable)  Director 10% OwnerX_ Officer (give title Other (specify below) below)  Vice President-Human Resources				
(Street)				Filed(Mor	4. If Amendment, Date Original Filed(Month/Day/Year) 03/05/2015					6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person			
TOLEDO, OH 43699-0060									Form filed by More than One Reporting Person				
	(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative (	Secur	ities Acq	uired, Disposed of, or Beneficially Owned				
	(Instr. 3) any			Deemed eution Date, if nth/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price			d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
	Restricted Stock Units	03/02/2015			A	3,270 (1)	A	\$ 38.06	3,270	D			
	Common Stock								41,731.1262	D			
	Common Stock								21,190.8959	I	By 401k Plan (2)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

### Edgar Filing: LIBBEY INC - Form 4/A

#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
	Security				(D) (Instr. 3, 4, and 5)				
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shares
NQ - Stock Option (Right to Buy)	\$ 38.06	03/02/2015		A	4,417	02/17/2016(3)	03/02/2025(4)	Common Stock	4,41

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

PAIGE TIMOTHY T LIBBEY INC PO BOX 10060 TOLEDO, OH 43699-0060

Vice President-Human Resources

# **Signatures**

Debbie Hyndman, Attorney-in-Fact for Timothy T. Paige

08/03/2015

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units will vest 25% on February 17th of each of 2016, 2017, 2018 and 2019 provided the grantee remains continuously employed by the Company as of those respective dates.
- The information reported herein is based upon information received from the record keeper of the Libbey Inc. 401k/104m plan. The Libbey Inc. shares attributed to the participant in this report as being held by the plan are the equivalent number of the shares the participant would receive of his entire Libbey Inc. share account if it was distributed to him in Libbey Inc. shares. The actual account in the plan consists of the Libbey Inc. shares and cash.
- (3) The options become exercisable for 25% of the shares on February 17th of each of 2016, 2017, 2018 and 2019 provided the grantee remains continuously employed by the Company as of those respective dates.

Reporting Owners 2

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(4) Corrects expiration date which was incorrectly stated on previously filed Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.