Rubicon Technology, Inc.

Form 3

October 20, 2015

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0104

0.5

January 31, Expires: 2005

**OMB APPROVAL** 

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Tamim Hany

(Last)

(First)

C/O RUBICON TECHNOLOGY

(Middle)

Statement

(Month/Day/Year)

10/12/2015

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Rubicon Technology, Inc. [RBCN]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

Director \_X\_\_ Officer

10% Owner Other

6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Chief Operating Officer \_X\_ Form filed by One Reporting

> Person Form filed by More than One

Reporting Person

INC.,, 900 EAST GREEN ST.,

**UNIT A** 

(City)

(Instr. 4)

1. Title of Security

(Street)

(State)

BENSENVILLE. ILÂ 60106

(Zip)

2. Amount of Securities

Beneficially Owned (Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

(Instr. 5) Form:

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

5. 4 Conversion Ownership or Exercise Form of

Price of Derivative

Security

6. Nature of Indirect Beneficial Ownership

(Instr. 4)

Date **Expiration Title** Exercisable Date

Amount or Number of

Derivative Security: Direct (D)

(Instr. 5)

1

### Edgar Filing: Rubicon Technology, Inc. - Form 3

Shares

or Indirect (I) (Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

**Tamim Hany** 

C/O RUBICON TECHNOLOGY INC., 900 EAST GREEN ST., UNIT A BENSENVILLE, ILÂ 60106 Â Â Chief Operating Officer Â

## **Signatures**

/s/ Scott Glickson, Attorney-in-Fact for Hany Tamim 10/20/2015

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

## No securities are beneficially owned

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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## **Remarks:**

See attached Exhibit 24 (Power of Attorney).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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