NEOTHERAPEUTICS INC Form 8-K September 06, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT
Pursuant to Section 13 or 15(d) of
the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): **September 5, 2002**

NEOTHERAPEUTICS, INC.

(Exact Name of Registrant as Specified in Charter)

| Delaware | 000-28782 | 93-0979187 |
|--|---|--------------------------------------|
| (State or Other Jurisdiction of Incorporation) | (Commission File Number) | (I.R.S. Employer Identification No.) |
| | 157 Technology Drive Irvine, California 92618 | |
| | (Address of Principal Executive Offices) | |
| | | |
| | (949) 788-6700 | |
| | (Registrant s telephone number, including area code | 2) |

ITEM 5. OTHER EVENTS

On September 5, 2002, NeoTherapeutics, Inc. (the Company) issued a press release announcing that its stockholders have approved an amendment to the Company s certificate of incorporation to effect a 25-for-1 reverse split of the Company s common stock. The stockholders of the Company also approved the raising, as necessary, of up to \$10,000,000, through the issuance of the Company s common stock and/or warrants exercisable for the purchase of common stock, up to a maximum of 10,000,000 shares of common stock, potentially at discounts to the then current market price.

The text of the press release is set forth in Exhibit 99.1 attached to this report and incorporated herein by this reference.

ITEM 7. EXHIBITS

Exhibits:

99.1 Press release dated September 5, 2002.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: September 6, 2002 **NEOTHERAPEUTICS, INC.**

By: /s/ Rajesh Shrotriya

Name: Rajesh Shrotriya, M.D.
Title: Chairman of the Board, Chief
Executive Officer and President

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EXHIBIT INDEX

Exhibits:

99.1 Press release dated September 5, 2002.

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