SM Energy Co Form 4 July 06, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to

Section 16. Form 4 or Form 5 obligations

may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * PURSELL A WADE

2. Issuer Name and Ticker or Trading

Symbol

SM Energy Co [SM]

(Last) (First) (Middle) 3. Date of Earliest Transaction

1775 SHERMAN STREET, SUITE 1200

(Month/Day/Year)

07/01/2015

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

(Check all applicable)

Director 10% Owner __ Other (specify X_ Officer (give title)

below) EVP & CFO

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

DENVER, CO 80203

| | (City) | (State) | (Zip) Tabl | le I - No | on-E | Derivative (| Secur | ities Acqui | red, Disposed of, | or Beneficiall | y Owned |
|------|---------------------------|--------------------------------------|---|---------------------------------|------|--------------|-----------|--------------|--|--|---|
| Secu | tle of urity tr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transa Code (Instr. | | (A) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Cor | nmon | | | Code | V | Amount | or (D) | Price | (Instr. 3 and 4) | | |
| Sto | ck; 1 Par | 03/04/2015 | | G | V | 2,000 | D | \$ 0 | 67,750 | D | |
| Sto | 1 Par | 06/30/2015 | | J <u>(1)</u> | V | 648 | A | \$ 32.793 | 68,398 | D | |
| Sto | 1 Par | 07/01/2015 | | M | | 2,207 | A | (2) | 70,605 | D | |

Edgar Filing: SM Energy Co - Form 4

| Common Stock; \$.01 Par Value | 07/01/2015 | F | 706 | D | \$ 46.12 | 69,899 | D |
|--|------------|---|--------|---|------------|--------|---|
| Common Stock; \$.01 Par Value | 07/01/2015 | M | 1,945 | A | (3) | 71,844 | D |
| Common Stock; \$.01 Par Value | 07/01/2015 | F | 623 | D | \$ 46.12 | 71,221 | D |
| Common Stock; \$.01 Par Value | 07/01/2015 | M | 1,981 | A | <u>(4)</u> | 73,202 | D |
| Common Stock; \$.01 Par Value | 07/01/2015 | F | 634 | D | \$ 46.12 | 72,568 | D |
| Common Stock; \$.01 Par Value | 07/01/2015 | M | 18,757 | A | <u>(5)</u> | 91,325 | D |
| Common Stock; \$.01 Par Value | 07/01/2015 | F | 8,311 | D | \$ 46.12 | 83,014 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

3. Transaction Date 3A. Deemed

1. Title of

2.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

5. Number of

 $\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | TransactionDerivative | | | Expiration Date | | Underlying Securit | |
|------------|-------------|------------------|--------------------|-----------------------|-----------------|---------|-----------------|------------|--------------------|-------|
| Security | or Exercise | | any | Code | Securities A | cquired | (Month/Day/ | Year) | (Instr. 3 and | 4) |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | (A) or Dispo | sed of | | | | |
| | Derivative | | | | (D) | | | | | |
| | Security | | | | (Instr. 3, 4, a | ind 5) | | | | |
| | | | | | | | | | | Amo |
| | | | | | | | Date | Expiration | Title | or |
| | | | | | | | Exercisable | - | riue | Num |
| | | | | Code V | (A) | (D) | | | | of Sh |
| | | | | | | | | | | |

6. Date Exercisable and 7. Title and Amour

Edgar Filing: SM Energy Co - Form 4

| Restricted Stock Units | <u>(2)</u> | 07/01/2015 | M | 2,207 | (2) | (2) | Common Stock | 2,2 |
|----------------------------|------------|------------|---|--------|------------|------------|-----------------|-----|
| Restricted Stock Units | <u>(3)</u> | 07/01/2015 | M | 1,945 | (3) | <u>(3)</u> | Common Stock | 1,9 |
| Restricted Stock Units | <u>(4)</u> | 07/01/2015 | M | 1,981 | <u>(4)</u> | <u>(4)</u> | Common Stock | 1,9 |
| Performance Share Units | <u>(5)</u> | 07/01/2015 | A | 18,757 | (5) | (5) | Common Stock | 18, |
| Performance Share Units | <u>(5)</u> | 07/01/2015 | M | 18,757 | (5) | (5) | Common Stock | 18, |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

PURSELL A WADE 1775 SHERMAN STREET SUITE 1200 DENVER, CO 80203

EVP & CFO

Signatures

Karin M. Writer (Attorney-In-Fact)

07/06/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person purchased 648 shares of the issuer's common stock on June 30, 2015, through the issuer's Employee Stock Purchase Plan.
- Each restricted stock unit represents a contingent right to receive one share of stock. The restricted stock unit grant vested in three equal (2) annual installments beginning on July 1, 2013. The vested shares were issued to the reporting person on the vesting dates, at which time all restrictions on the vested shares lapsed.
- Each restricted stock unit represents a contingent right to receive one share of stock. The restricted stock unit grant vests in three equal annual installments beginning on July 1, 2014. The vested shares will be issued to the reporting person on the vesting dates, at which time all restrictions on the vested shares will lapse.
- Each restricted stock unit represents a contingent right to receive one share of stock. The restricted stock unit grant vests in three equal annual installments beginning July 1, 2015. The vested shares will be issued to the reporting person on the vesting dates, at which time all restrictions on the vested shares will lapse.
 - On July 1, 2015, the Compensation Committee of the Board of Directors of the issuer determined that 18,757 shares of the issuer's common stock had been earned by the reporting person under the terms of a grant of performance share units (the "PSUs"), based on the achievement of specific performance criteria that were not tied solely to the market price of the issuer's common stock. The PSUs were
- granted to the reporting person on July 1, 2012, and represent the right to receive, upon the settlement of the PSUs, the determined number of earned shares of the issuer's common stock based on the achievement of the performance criteria over a three-year performance period (with the determined number of earned shares being within a range of zero to two times the number of PSUs granted on the award date), to the extent that the PSUs have vested under separate employment service vesting provisions. The PSUs vested in three equal annual installments beginning on July 1, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 3

Edgar Filing: SM Energy Co - Form 4

| Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. |
|---|
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |
| |