#### ARI NETWORK SERVICES INC/WI

Form 4 April 08, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **DEARING BRIAN E** 

(Street)

2. Issuer Name and Ticker or Trading

Symbol

ARI NETWORK SERVICES INC /WI [ARIS]

Issuer

below)

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last)

(First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

10% Owner Other (specify Officer (give title

10850 W. PARK PLACE, SUITE

1200

4. If Amendment, Date Original

Filed(Month/Day/Year)

04/04/2014

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_\_ Director

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

MILWAUKEE, WI 53224

(City)	(State)	(Zip) Tab	le I - Non-I	Derivative	Secu	rities Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	04/04/2014		Code V M	Amount 8,933	(D)	Price \$ 1.565	290,236	D	
Common Stock	04/04/2014		S	600	D	\$ 3.1	289,636	D	
Common Stock	04/04/2014		S	200	D	\$ 3.1001	289,436	D	
Common Stock	04/04/2014		S	100	D	\$ 3.1102	289,336	D	
Common Stock	04/04/2014		S	100	D	\$ 3.12	289,236	D	

## Edgar Filing: ARI NETWORK SERVICES INC /WI - Form 4

Common Stock	04/04/2014	S	6,200	D	\$ 3.13	283,036	D	
Common Stock	04/04/2014	S	400	D	\$ 3.1301	282,636	D	
Common Stock	04/04/2014	S	100	D	\$ 3.14	282,536	D	
Common Stock	04/04/2014	S	644	D	\$ 3.15	281,892	D	
Common Stock	04/04/2014	S	56	D	\$ 3.151	281,836	D	
Common Stock	04/04/2014	S	533	D	\$ 3.16	281,303	D	
Common Stock						27,051	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	<ol><li>Date Exerci</li></ol>	sable and	7. Title and A	Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction Derivative		Expiration Date		Underlying Securities	
Security	or Exercise		any	Code	Securities	(Month/Day/Y	ear)	(Instr. 3 and	4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired				
	Derivative				(A) or				
	Security				Disposed of				
					(D)				
					(Instr. 3, 4,				
					and 5)				
									Amazzat
									Amount or
						Date	Expiration	Title	Number
						Exercisable	Date	Title	of
				Code V	(A) (D)				Shares
				Code v	(A) (D)				Silaies
Stock	<b>4.565</b>	0.4/0.4/2.01.4		3.5	0.022	07/01/0006	05/01/0014	Common	0.022
Option	\$ 1.565	04/04/2014		M	8,933	07/31/2006	05/21/2014	Stock	8,933
Option								Stock	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
DEARING BRIAN E	X						
10850 W. PARK PLACE							

Reporting Owners 2

### Edgar Filing: ARI NETWORK SERVICES INC /WI - Form 4

SUITE 1200 MILWAUKEE, WI 53224

## **Signatures**

Mary L. Pierson (pursuant to Power of Attorney previously filed) 04/08/2014

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3