

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

JAPAN SMALLER CAPITALIZATION FUND INC

Form NSAR-A

October 30, 2003

PAGE 1
000 A000000 08/31/2003
000 C000000 0000859796
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 JAPAN SMALLER CAPITALIZATION FUND, INC.
001 B000000 811-05992
001 C000000 2125090240
002 A000000 180 MAIDEN LANE
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10038
002 D020000 4936
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 NOMURA ASSET MANAGEMENT USA, INC.
008 B000001 A
008 C000001 801-12129
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10038
008 D040001 4936
008 A000002 NOMURA ASSET MANAGEMENT CO., LTD.
008 B000002 A
008 C000002 801-24357
008 D010002 TOKYO
008 D050002 JAPAN
008 D060002 103-8260
008 A000004 NOMURA ASSET MANAGEMENT HONG KONG LTD.
008 B000004 S
008 C000004 801-57909
008 D010004 HONG KONG
008 D050004 HONG KONG
PAGE 2
010 A000001 NOMURA ASSET MANAGEMENT USA INC.
010 B000001 801-12129
010 C010001 NEW YORK
010 C020001 NY
010 C030001 10038
010 C040001 4936

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

012 A000001 EQUISERVE TRUST COMPANY, NA
012 B000001 85-11340
012 C010001 PROVIDENCE
012 C020001 RI
012 C030001 02940
012 C040001 3011
013 A000001 PRICEWATERHOUSE COOPERS, LLP.
013 B010001 NEW YORK
013 B020001 NY
013 B030001 10036
013 B040001 2798
014 A000001 NOMURA SECURITIES INTERNATIONAL, INC.
014 B000001 8-15255
015 A000001 BROWN BROTHERS HARRIMAN & CO.
015 B000001 C
015 C010001 BOSTON
015 C020001 MA
015 C030001 02109
015 C040001 3661
015 E010001 X
018 000000 Y
019 A000000 Y
019 B000000 2
019 C000000 NOMURAASSE
020 A000001 DEUTSCHE SECURITIES, LTD.
020 C000001 15
020 A000002 MORGAN STANLEY
020 C000002 12
020 A000003 DAIWA SECURITIES
020 C000003 4
020 A000004 JP MORGAN SECURITIES
020 C000004 4
020 A000005 MERRILL LYNCH JAPAN INC.
020 C000005 2
020 A000006 CITIGROUP
020 C000006 2
020 A000007 GOLDMAN SACHS
020 C000007 2
020 C000008 0
020 C000009 0
020 C000010 0
021 000000 41
022 A000001 BROWN BROTHERS HARRIMAN & CO.
022 B000001 13-4973745
022 C000001 32364
PAGE 3
022 D000001 32056
022 C000002 0
022 D000002 0
022 C000003 0
022 D000003 0
022 C000004 0
022 D000004 0
022 C000005 0
022 D000005 0
022 C000006 0
022 D000006 0
022 C000007 0
022 D000007 0
022 C000008 0
022 D000008 0
022 C000009 0

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

| | | |
|-----|-----------|-------|
| 022 | D000009 | 0 |
| 022 | C000010 | 0 |
| 022 | D000010 | 0 |
| 023 | C000000 | 32364 |
| 023 | D000000 | 32056 |
| 024 | 000000 N | |
| 025 | D000001 | 0 |
| 025 | D000002 | 0 |
| 025 | D000003 | 0 |
| 025 | D000004 | 0 |
| 025 | D000005 | 0 |
| 025 | D000006 | 0 |
| 025 | D000007 | 0 |
| 025 | D000008 | 0 |
| 026 | A000000 N | |
| 026 | B000000 Y | |
| 026 | C000000 Y | |
| 026 | D000000 Y | |
| 026 | E000000 Y | |
| 026 | F000000 Y | |
| 026 | G010000 N | |
| 026 | G020000 N | |
| 026 | H000000 N | |
| 027 | 000000 N | |
| 028 | A010000 | 0 |
| 028 | A020000 | 0 |
| 028 | A030000 | 0 |
| 028 | A040000 | 0 |
| 028 | B010000 | 0 |
| 028 | B020000 | 0 |
| 028 | B030000 | 0 |
| 028 | B040000 | 0 |
| 028 | C010000 | 0 |
| 028 | C020000 | 0 |
| 028 | C030000 | 0 |
| | PAGE 4 | |
| 028 | C040000 | 0 |
| 028 | D010000 | 0 |
| 028 | D020000 | 0 |
| 028 | D030000 | 0 |
| 028 | D040000 | 0 |
| 028 | E010000 | 0 |
| 028 | E020000 | 0 |
| 028 | E030000 | 0 |
| 028 | E040000 | 0 |
| 028 | F010000 | 0 |
| 028 | F020000 | 0 |
| 028 | F030000 | 0 |
| 028 | F040000 | 0 |
| 028 | G010000 | 0 |
| 028 | G020000 | 0 |
| 028 | G030000 | 0 |
| 028 | G040000 | 0 |
| 028 | H000000 | 0 |
| 030 | A000000 | 0 |
| 030 | B000000 | 0.00 |
| 030 | C000000 | 0.00 |
| 031 | A000000 | 0 |
| 031 | B000000 | 0 |
| 032 | 000000 | 0 |
| 033 | 000000 | 0 |
| 035 | 000000 | 0 |

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

| | | |
|-----|---------|--------|
| 036 | B000000 | 0 |
| 038 | 000000 | 0 |
| 042 | A000000 | 0 |
| 042 | B000000 | 0 |
| 042 | C000000 | 0 |
| 042 | D000000 | 0 |
| 042 | E000000 | 0 |
| 042 | F000000 | 0 |
| 042 | G000000 | 0 |
| 042 | H000000 | 0 |
| 043 | 000000 | 0 |
| 044 | 000000 | 0 |
| 045 | 000000 | Y |
| 046 | 000000 | N |
| 047 | 000000 | Y |
| 048 | 000000 | 0.000 |
| 048 | A010000 | 50000 |
| 048 | A020000 | 1.100 |
| 048 | B010000 | 50000 |
| 048 | B020000 | 1.000 |
| 048 | C010000 | 100000 |
| 048 | C020000 | 0.900 |
| 048 | D010000 | 0 |
| 048 | D020000 | 0.000 |
| 048 | E010000 | 0 |
| | PAGE | 5 |
| 048 | E020000 | 0.000 |
| 048 | F010000 | 0 |
| 048 | F020000 | 0.000 |
| 048 | G010000 | 0 |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0 |
| 048 | H020000 | 0.000 |
| 048 | I010000 | 0 |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0 |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 175000 |
| 048 | K020000 | 0.800 |
| 049 | 000000 | N |
| 050 | 000000 | N |
| 051 | 000000 | N |
| 052 | 000000 | N |
| 053 | A000000 | N |
| 054 | A000000 | Y |
| 054 | B000000 | Y |
| 054 | C000000 | Y |
| 054 | D000000 | N |
| 054 | E000000 | N |
| 054 | F000000 | N |
| 054 | G000000 | Y |
| 054 | H000000 | Y |
| 054 | I000000 | N |
| 054 | J000000 | Y |
| 054 | K000000 | N |
| 054 | L000000 | N |
| 054 | M000000 | Y |
| 054 | N000000 | N |
| 054 | O000000 | N |
| 055 | A000000 | N |
| 055 | B000000 | N |
| 056 | 000000 | Y |

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

057 000000 N
 058 A000000 N
 059 000000 Y
 060 A000000 N
 060 B000000 N
 061 000000 0
 062 A000000 N
 062 B000000 0.0
 062 C000000 0.0
 062 D000000 0.0
 062 E000000 0.0
 062 F000000 0.0
 062 G000000 0.0
 062 H000000 0.0
 062 I000000 0.0
 PAGE 6
 062 J000000 0.0
 062 K000000 0.0
 062 L000000 0.0
 062 M000000 0.0
 062 N000000 0.0
 062 O000000 0.0
 062 P000000 0.0
 062 Q000000 0.0
 062 R000000 0.0
 063 A000000 0
 063 B000000 0.0
 066 A000000 Y
 066 B000000 N
 066 C000000 Y
 066 D000000 N
 066 E000000 N
 066 F000000 N
 066 G000000 N
 067 000000 N
 068 A000000 N
 068 B000000 Y
 069 000000 N
 070 A010000 Y
 070 A020000 N
 070 B010000 N
 070 B020000 N
 070 C010000 N
 070 C020000 N
 070 D010000 N
 070 D020000 N
 070 E010000 N
 070 E020000 N
 070 F010000 N
 070 F020000 N
 070 G010000 Y
 070 G020000 N
 070 H010000 N
 070 H020000 N
 070 I010000 Y
 070 I020000 N
 070 J010000 Y
 070 J020000 N
 070 K010000 Y
 070 K020000 N
 070 L010000 Y
 070 L020000 Y

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

| | | | |
|-------|---------|---|--------|
| 070 | M010000 | Y | |
| 070 | M020000 | Y | |
| 070 | N010000 | Y | |
| 070 | N020000 | N | |
| 070 | O010000 | Y | |
| | PAGE | 7 | |
| 070 | O020000 | N | |
| 070 | P010000 | N | |
| 070 | P020000 | N | |
| 070 | Q010000 | N | |
| 070 | Q020000 | N | |
| 070 | R010000 | N | |
| 070 | R020000 | N | |
| 071 | A000000 | | 15003 |
| 071 | B000000 | | 15998 |
| 071 | C000000 | | 100848 |
| 071 | D000000 | | 15 |
| 072 | A000000 | 6 | |
| 072 | B000000 | | 3 |
| 072 | C000000 | | 730 |
| 072 | D000000 | | 0 |
| 072 | E000000 | | 0 |
| 072 | F000000 | | 529 |
| 072 | G000000 | | 0 |
| 072 | H000000 | | 0 |
| 072 | I000000 | | 10 |
| 072 | J000000 | | 92 |
| 072 | K000000 | | 0 |
| 072 | L000000 | | 22 |
| 072 | M000000 | | 25 |
| 072 | N000000 | | 18 |
| 072 | O000000 | | 0 |
| 072 | P000000 | | 0 |
| 072 | Q000000 | | 0 |
| 072 | R000000 | | 36 |
| 072 | S000000 | | 73 |
| 072 | T000000 | | 0 |
| 072 | U000000 | | 0 |
| 072 | V000000 | | 12 |
| 072 | W000000 | | 8 |
| 072 | X000000 | | 825 |
| 072 | Y000000 | | 0 |
| 072 | Z000000 | | -92 |
| 072AA | 000000 | | 0 |
| 072BB | 000000 | | 10194 |
| 072CC | 010000 | | 41075 |
| 072CC | 020000 | | 0 |
| 072DD | 010000 | | 0 |
| 072DD | 020000 | | 0 |
| 072EE | 000000 | | 0 |
| 073 | A010000 | | 0.0000 |
| 073 | A020000 | | 0.0000 |
| 073 | B000000 | | 0.0000 |
| 073 | C000000 | | 0.0000 |
| 074 | A000000 | | 1254 |
| 074 | B000000 | | 0 |
| 074 | C000000 | | 343 |
| | PAGE | 8 | |
| 074 | D000000 | | 0 |
| 074 | E000000 | | 0 |
| 074 | F000000 | | 120038 |
| 074 | G000000 | | 0 |

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

| | | |
|-----|---------|-----------|
| 074 | H000000 | 0 |
| 074 | I000000 | 0 |
| 074 | J000000 | 655 |
| 074 | K000000 | 0 |
| 074 | L000000 | 0 |
| 074 | M000000 | 158 |
| 074 | N000000 | 122448 |
| 074 | O000000 | 422 |
| 074 | P000000 | 94 |
| 074 | Q000000 | 0 |
| 074 | R010000 | 0 |
| 074 | R020000 | 0 |
| 074 | R030000 | 0 |
| 074 | R040000 | 214 |
| 074 | S000000 | 0 |
| 074 | T000000 | 121718 |
| 074 | U010000 | 15846 |
| 074 | U020000 | 0 |
| 074 | V010000 | 7.68 |
| 074 | V020000 | 0.00 |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 9000 |
| 074 | Y000000 | 0 |
| 075 | A000000 | 0 |
| 075 | B000000 | 102100 |
| 076 | 000000 | 9.04 |
| 077 | A000000 | N |
| 078 | 000000 | N |
| 080 | C000000 | 0 |
| 081 | B000000 | 0 |
| 082 | B000000 | 0 |
| 083 | B000000 | 0 |
| 084 | B000000 | 0 |
| 086 | A010000 | 0 |
| 086 | A020000 | 0 |
| 086 | B010000 | 0 |
| 086 | B020000 | 0 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A020000 | 47109U104 |
| 087 | A030000 | JOF |
| | PAGE | 9 |
| 088 | A000000 | N |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | N |

SIGNATURE RITA CHOPRA-BRATHWAITE
TITLE TREASURER