#### Edgar Filing: JAMIESON DOUGLAS R - Form 4

#### JAMIESON DOUGLAS R

Form 4

February 26, 2018

# FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB Number: 3235-0287

Expires: January 31, 2005

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Check this box if no longer subject to Section 16.

Form 4 or

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person * JAMIESON DOUGLAS R			2. Issuer Name <b>and</b> Ticker or Trading Symbol GAMCO INVESTORS, INC. ET AL					5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
			[GBL]					- (Check an applicable)				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction					Director 10% Owner				
C/O CAMCO INVESTORS			(Month/Day/Year)					_X_ Officer (give title Other (specify below)				
C/O GAMCO INVESTORS, INC., ONE CORPORATE CENTER			06/19/2017					President & COO of GAMCO Asset				
nve., orve												
	(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line)			
			T Hed(Mon	mi/Bay/ Tear	,			_X_ Form filed by One Reporting Person				
RYE, NY 10580									Form filed by More than One Reporting Person			
(City)	(State)	(Zip)		T N/ D		G	••					
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									ally Owned			
1.Title of Security	2. Transaction Da				5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect					
(Instr. 3)	(Month/Day/Year) Execution Date, if TransactionAcquired (A) or any Code Disposed of (D'			Beneficially	(D) or	Beneficial						
		(Month	/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)		Owned	Owned Indirect (I)				
							Following Reported	(Instr. 4)				
						(A)		Transaction(s)				
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Class A						. ,				Custodian		
Common	06/19/2017			J <u>(1)</u>	1,640	D	\$0	820	I	of UGMA		
Stock										account $\underline{^{(2)}}$		
Class A												
Common								8,439	D			
Stock												
Class B												
Common								29,471 <u>(3)</u>	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of		3. Transaction Date		4.	5.	6. Date Exerc			le and	8. Price of	9. Nu
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transac Code (Instr. 8	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5		Secur	rlying	Derivative Security (Instr. 5)	Deriv Secur Bene Own Follo Repo Trans (Instr
				Code V	/ (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

JAMIESON DOUGLAS R C/O GAMCO INVESTORS, INC. ONE CORPORATE CENTER RYE, NY 10580

President & COO of GAMCO Asset

### **Signatures**

/s/ Douglas R. Jamieson 02/26/2018

\*\*Signature of Reporting Date
Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were transferred to Mr. Jamieson's childrens' accounts. Previously, Mr. Jamieson was the Uniform Gifts to Minors Act ("UGMA") custodian of these accounts.
- (2) Mr. Jamieson is the UGMA custodian for his minor child's UGMA account. Mr. Jamieson has voting and dispositive control of these shares.
- (3) Pursuant to a resolution approved by the Issuer's Board of Directors, as of the date of this filing, there are 600,230 shares of Class B Stock that can be converted into Class A Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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