SUN LIFE FINANCIAL INC

Form 144

February 24, 2016

OMB APPROVAL OMB Number: 3235-0101 **UNITED STATES** Expires: May 31, 2017 SECURITIES AND EXCHANGE COMMISSION Estimated average Washington, D.C. 20549 burden **FORM 144** hours per NOTICE OF PROPOSED SALE OF SECURITIES response......1.00 PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933 SEC USE ONLY ATTENTION: Transmit for filing 3 copies of this form concurrently with either placing an **DOCUMENT** order with a broker to execute sale SEQUENCE NO. or executing a sale directly with a market maker **CUSIP NUMBER** (b) IRS IDENT. (c) S.E.C. FILE 1 (a) NAME OF ISSUER (Please type or print) NO. NO. WORK LOCATION Sun Life Financial Inc. Not 001-15014 **Applicable** 1 (d) ADDRESS OF **ISSUER STREET** (e) TELKPHONE NO. STATEZIP CODE AREA **NUMBER CODE** 150 King Street, 6th Floor, Toronto Ontario, Canada M5H IJ9 979-4800 416 (c) ADDRESS **STREET CITY** 2 (a) NAME OF PERSON FOR WHOSE ACCOUNT (b) RELATIONSHIP THE SECURITIES TO ISSUER c/o Sun Life Financial, SC 2335, One Sun ARE TO BE SOLD Stephen C. Peacher Officer Life Executive Park, Wellesley Hills, Massachusetts INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number. **SEC USE** 3 (a) (b) (c) (d) (e) (f) (g) **ONLY** Number of Broker-Dealer Number of Aggregate Approximate Name of Title of the Name and Address of Date of Sale Each Broker Through File Number Shares Market Shares Each Class of Securities Whom the or Other Value or Other Units (See instr. Securities To Be Securities are to be Outstanding Units (See instr. 3(f)Exchange Sold Offered or Each Market To Be Sold3(d)) (See instr. (MO. DAY (See instr. Maker (See instr. 3(e)) YR.) 3(g)

3(c))

who is Acquiring the

Securities

Canaccord Genuity, Inc.

99 High Street, 12th Common

Shares Floor

Boston, MA 02110

2,866,326 612,333,824 02-23-2016 **TSX** 71.574

INSTRUCTIONS:

- 1. (a) Name of issuer
- (b) Issuer's I.R.S. Identification Number
- (c) Issuer's S.E.C. file number, if
- (d) Issuer's address, including zip code
- (e) Issuer's telephone number, including area code
- 2. (a) Name of person for whose account the securities are to be sold
- (b) Such person's relationship to the issuer (e.g., officer, director, 10%

stockholder, or member of immediate family of any of the foregoing)

(c) Such person's address, including zip code

TABLE I SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

- 3. (a) Title of the class of securities to be sold
- (b) Name and address of each broker through whom the securities are intended to be sold
- (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
- (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to the filing of this notice
- (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer
 - (f) Approximate date on which the securities are to be sold
- (g) Name of each securities exchange, if any, on which the securities are intended to be sold

Name of Person from Whom

the Class Acquired

Shares

Title of Date you Nature of Acquisition Transaction

Acquired Amount of Date of Nature of (If gift, Securities Acquired Payment Payment

also give date donor acquired) Sun Life

Common Stock Option Exercise

Financial 71,574 02-23-2016Cash

Inc.

INSTRUCTIONS: If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Name and Address of Seller Title of Securities Sold Date of Sale Amount of Securities Sold Gross Proceeds

REMARKS:

INSTRUCTIONS:

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with

02-23-2016

ATTENTION:

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the person for whose account the securities are to be the current and prospective operations of the Issuer of the sold but also as to all other persons included in that securities to be sold which has not been publicly disclosed. If definition. In addition, information shall be given as such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b-5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted sales for the account of the person filing this notice. or the instruction given, that person makes such representation as of the plan adoption or instruction date.

/s/ Stephen C.

Peacher DATE OF NOTICE (SIGNATURE)

DATE OF PLAN OR GIVING OF INSTRUCTION, IF RELYING ON RULE 10B5-1

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)