Cramer Laurence Edward Form 4 August 13, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB 3235-0287

Number:

January 31, 2005

0.5

Estimated average burden hours per

10% Owner

response...

Expires:

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Cramer Laurence Edward

13900 NW SCIENCE PARK DR.

2. Issuer Name and Ticker or Trading

Symbol

**ELECTRO SCIENTIFIC INDUSTRIES INC [ESIO]**  5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

08/09/2018

(Street) 4. If Amendment, Date Original

(Month/Day/Year)

(Zip)

Filed(Month/Day/Year)

Α

Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_\_ Director

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

PORTLAND, OR 97229

(City) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(State)

4. Securities 3. TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially Beneficial (D) or Owned Ownership Indirect (I) Following (Instr. 4) (Instr. 4)

D

Reported (A) Transaction(s) or (Instr. 3 and 4)

Code V Amount (D) Price

Common 08/09/2018 Stock

5,543 \$0 53.543 (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Cramer Laurence Edward - Form 4

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and		7. Title a	and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	Date Amou		of	Derivative
Security	or Exercise		any	Code	of (Month/Day/Year)		Underlying		Security	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	Instr. 8) Derivative			Securities		(Instr. 5)
	Derivative			Securities		S		(Instr. 3	and 4)	
	Security				Acquired (A) or			`		
	•									
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
					., and e)					
								A	mount	
						Date Expiration Exercisable Date	Evniration	01	r	
							•	Title N	lumber	
							Date	of	f	
				Code	V (A) (D)			Sl	hares	

# **Reporting Owners**

Relationships

Reporting Owner Name / Address

 $\begin{array}{ccc} \text{Director} & \frac{10\%}{\text{Owner}} & \text{Officer} & \text{Other} \end{array}$ 

Cramer Laurence Edward 13900 NW SCIENCE PARK DR. X PORTLAND, OR 97229

## **Signatures**

By: Aric McKinnis, Attorney-in-Fact For: For: Laurence E.
Cramer 08/13/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Time-Based Restricted Stock Units vest 100% of the total shares directly prior to the 2019 Annual Shareholder Meeting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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