HUMANA INC Form 144 February 19, 2019

# Edgar Filing: HUMANA INC - Form 144

UNITED STATES						OMB APPROVAL		
SECURITIES AND EXCHANGE COMMISSION					-	OMB		
Washington,	D.C. 20549					Number:	3235-0101	
						Expires:	December 3	81,
FORM 144 2019								
						Estimated av	verage burden	
NOTICE OF	PROPOSED SALE OF	<b>SECURITIES</b>				hours per	-	
PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933					-	response 1.00		
						SEC USE O		
ATTENTIO	N· Transmit for filing	3 copies of this t	form conci	urrently wit	th eithe		T SEQUENCE	NO
ATTENTION: Transmit for filing 3 copies of this form placing an order with a broker to execute sale or				unentry wh		CUSIP NUM		110.
	ale directly with a mark						IDLK	
executing a s	ale uneculy while a mark	et maker.		(h) I	חמ (			
1 ( ) ) ) ) ) )		• •		(b) II		(c) S.E.C.		
	E OF ISSUER (Please ty	(pe or print)		IDEN		FILE/ORK LOC	CATION	
Human	a Inc.			NO. NO.				
					1-0647:	5381-5975		
1 (d) ADDF	RESS OF ISSUER		STH	REET		(e) TELEPH	IONE	
(	CITY	STATE	ZII	P CODE				
						AREA COD	Е	NUMBER
		500 West Main				502		580-1000
Street	Louisville	KY		40202				
				(b) REL	ATION	ADDRESS STR		
				TO	(c) A			
	E OF PERSON FOR W				IFR	CITY	STATE ZIP	
ACCOUNT	THE SECURITIES AR	E TO BE		Chief	ER COD	ЭE		
SOLD				Human				
Timothy S. Huval				Resources 500 W. Main Street Louisville				
					KY	40202		
NOTRIOTI			1	Officer	1		· C· / NT 1	
	ON: The person filing	this notice should	d contact t	he issuer to	obtain	the I.R.S. ident	ification Number	er
and the S.E.C	C. File Number							
		SEC U	JSE ONL	Y (c)	(e)			(g)
				Number		ımber		Name
	(b)							of
3 (a)				or Other	(d) of Aggiðig Marlort	antes <sub>f</sub>		Each
Title of the	Name and Address of	Each		Unite	Markært	(1)	Data of Cala	
Class of	Broker Through Whor	n the D		Units	ValuOt	her	e Date of Sale	Securities
Securities	Securities Are To Be (	Offered or Broke	r-Dealer	To Be	(SeeUr	her (See Instr. 3) hits (MO DAY)	(f))	Exchange
To Be Sold	Each Market Maker w	HILE N	umber	0010	InstrOu	its (MO. DAY itstanding	YR.)	(See
TO DE Solu	Acquiring the Securiti			(See	3(d) (Se		ilisu.	
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					-			
						,815.79		
		T			based			
	Charles Schwab & Co	., Inc.			on13	5,566,672		
Common	9899 Schwab Way Lone Tree, CO 80124			11,506	HIMIN		f 2/19/2019 NYSE	
						/31/2018 )19		
					of			
					\$307.2	15		

INSTRUCTIONS: 1. (a) Name of Issuer

3. (a) Title of the class of securities to be sold

(b) Name and Address of each broker through whom the securities are in

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- (b) Issuer's I.R.S. Identification Number
- (c) Issuer's S.E.C. file number, if any
- (d) Issuer's address, including zip code

(c) Number of shares or other units to be sold (if debt securities, give the agg (d) Aggregate market value of the securities to be sold as of a specified d

(e) Issuer's telephone number, including area co(de) Number of shares or other units of the class outstanding, or if debt secur

by the most recent report or statement published by the issuer

- (f) Approximate date on which the securities are to be sold
- (g) Name of each securities exchange, if any, on which the securities are int
- 2. (a) Name of person for whose account the securities are to be sold

(b) Such person's relationship to the issuer (e.g., officer, director, 10%

stockholder, or member of immediate family of any of the foregoing)

(c) Such person's address, including zip code

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1147 (08-07)

# TABLE I - SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor.

Title of the Class	Date youNature of Acquisition Transaction Acquired	Name of the Person from Whom Acq <b>Aimd</b> unt of (if Securities Acquired gift, also give date donor acquired	Date of Payment	Nature of Payment
Common	2/199200 Exercise	Issu <b>6</b> ;340	2/19/2019	Cash
Common	3/8/Stock Option Exercise	Issu <b>ð</b> r,166	3/8/2019	Cash
INSTRUCT	If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the IONSIN ideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.			

# TABLE II - SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

			Amount of	
Name and Address of Seller	Title of Securities Sold	Date of Sale	Securities Sold	Gross Proceeds
Timothy S. Huval				
500 W Main St	Humana Common	12/18/2018	1,758	\$536,319.04
Louisville, KY 40202				
REMARKS:				

#### **INSTRUCTIONS:**

#### ATTENTION:

See the definition of "person" in paragraph (a) of The person for whose account the securities to which this notice Rule 144. Information is to be given not only as to relates are to be sold hereby represents by signing this notice that the person for whose account the securities are to be he does not know any material adverse information in regard to sold but also as to all other persons included in that the current and prospective operations of the issuer of the

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definition. In addition, information shall be given as to sales by all persons whose sales are required

securities to be sold which has not been publicly disclosed. If each person has adopted a written trading plan or given trading by paragraph (e) of Rule 144 to be aggregated with instructions to satisfy Rule 10b5-1 under the Exchange Act, by sales for the account of the person filing this notice. signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

2/19/2019 DATE OF NOTICE

/s/ Timothy S. Huval (SIGNATURE)

# DATE OF PLAN OR GIVING OF INSTRUCTION, IF RELYING ON RULE 10B5-1

This notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed.

Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001) SEC 1147 (02-08)