Edgar Filing: HALLIBURTON CO - Form 4

HALLIBURTON CO

| Form 4 | | | | | | | | | | | | |
|---|--------------------------------------|--|--|---------------------------------------|-----------------|---|--|--|---------------------------------------|----------|--|--|
| December 0 | | | | | | | | | OMB AF | PROVAL | | |
| FORM | 4 UNITEI | SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | OMB Number: | 3235-0287 | | | |
| Check th if no long subject to Section 1 Form 4 c | ger STATE 16. | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | Expires: Estimated a burden hou | rs per | | |
| Form 5 obligatio may cont See Instr 1(b). | Filed points tinue. Section 17 | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | |
| (Print or Type] | Responses) | | | | | | | | | | | |
| Pope Lawrence J Symb | | | | r Name and BURTON | | | ng | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| (Last) HALLIBUE N. SAM HO | 3. Date of (Month/E 12/06/2 | - | ransaction | | | (Check all applicable) <u></u> Director 10% Owner <u></u> Officer (give title Other (specify below) EVP Administration & CHRO | | | | | | |
| | | | | endment, Da nth/Day/Yea | - | ıl | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | |
| | | (7: | | | | | | Person | | | | |
| (City) | (State) | (Zip) | Tab | le I - Non-I | | | _ | uired, Disposed of | , or Beneficial | ly Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Da (Month/Day/Year | ned n Date, if Day/Year) | 3. Transactio Code (Instr. 8) | 4. Securi on(A) or D (Instr. 3, | ispose | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Ownership | | | |
| Common | | | | | Amount 5,941 | (A) or (D) | Price \$ | Transaction(s) (Instr. 3 and 4) | | | | |
| Stock | 12/06/2016 | | | F | <u>(1)</u> | D | ¢ 54.17 | 167,147.039 | D | | | |
| Common Stock | 12/07/2016 | | | F | 2,333 (1) | D | \$ 53.9 | 164,814.039 | D | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactie Code (Instr. 8) | ofDeriva Securi Acqui | ties red (A) posed of 3, 4, | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|-----------------------------|--------------------------------------|--|--------------------|---|-------------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Option to Buy Common Stock | \$ 38.95 | | | | | | 12/02/2015 | 12/02/2025 | Common Stock | 44,500 |
| Option to Buy Common Stock | \$ 40.75 | | | | | | 12/03/2014 | 12/03/2024 | Common Stock | 47,400 |
| Option to Buy Common Stock | \$ 50.62 | | | | | | 12/04/2013 | 12/04/2023 | Common Stock | 29,400 |
| Option to Buy Common Stock | \$ 33.5 | | | | | | 12/05/2012 | 12/05/2022 | Common Stock | 38,500 |
| Option to Buy Common Stock | \$ 35.57 | | | | | | 12/06/2011 | 12/06/2021 | Common Stock | 28,300 |
| Option to Buy Common Stock | \$ 39.19 | | | | | | 12/01/2010 | 12/01/2020 | Common Stock | 23,000 |
| Option to Buy Common Stock | \$ 29.35 | | | | | | 12/01/2009 | 12/01/2019 | Common Stock | 26,500 |
| Option to Buy Common Stock | \$ 36.9 | | | | | | 12/05/2007 | 12/05/2017 | Common Stock | 9,100 |
| | \$ 33.17 | 10/19/2016 | | М | | 10,400 | 12/06/2006 | 12/06/2016 | | 10,400 |

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Option to Buy Common Stock

Reporting Owners

Reporting Owner Name / Address Relationships
Director 10% Owner Officer

Pope Lawrence J HALLIBURTON COMPANY 3000 N. SAM HOUSTON PARKWAY E. HOUSTON, TX 77032

Signatures

Robert L. Hayter, by Power of Attorney

12/08/2016 Date

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares transferred to Halliburton Company for payment for Federal tax withholding obligations on lapse of restrictions on shares issued(1) under the Stock and Incentive Plan. Said Plan permits Reporting Person to satisfy withholding tax obligation by transferring unrestricted shares to the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners

Other

EVP Administration & CHRO