#### Edgar Filing: TRUST FBO ALTSCHUL EMILY H UW ALTSCHUL F - Form 4

#### TRUST FBO ALTSCHUL EMILY H UW ALTSCHUL F

Form 4 April 25, 2018

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* TRUST FBO ALTSCHUL EMILY H UW ALTSCHUL F

2. Issuer Name and Ticker or Trading Symbol

GENERAL AMERICAN **INVESTORS CO INC [GAM]** 

3. Date of Earliest Transaction

(Month/Day/Year) 04/23/2018

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

Chairman

below)

10% Owner \_X\_ Other (specify

**OMB APPROVAL** 

Expires:

response...

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

C/O OVERBROOK

MANAGEMENT CORP, 122 EAST 42ND STREET SUITE 2500

(First)

(Middle)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X\_ Director

Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10168-2500

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed

(Month/Day/Year)

04/23/2018

3. 4. Securities Acquired Execution Date, if

Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned

Following

Transaction(s)

(Instr. 3 and 4)

Reported

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

6. Ownership 7. Nature of (D) or Indirect (I) (Instr. 4)

D

Form: Direct Indirect Beneficial Ownership (Instr. 4)

(A) or

(D) Price Amount

Code V

S 394 D 33.96

5.95%

Stock

Common

Security

(Instr. 3)

Preferred 2,000 D

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

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SEC 1474 (9-02)

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number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1.	. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Tit.	le and	8. Price of	9. Nu
D	erivative	e Conversion	(Month/Day/Year)	Execution Date, if	Transact	iorNumber	Expiration Date		Amount of		Derivative	Deriv
S	ecurity	or Exercise		any	Code	of	(Month/Day	/Year)	Unde	rlying	Security	Secui
(I	nstr. 3)	Price of Derivative		(Month/Day/Year)	(Instr. 8)	Derivativ	vative			rities	(Instr. 5)	Bene
						Securities			(Instr. 3 and 4)		Own	
		Security				Acquired		Follo				
		-				(A) or						Repo
						Disposed	l					Trans
						of (D)						(Instr
						(Instr. 3,	,					
						4, and 5)						
										Amount		
								Expiration	of			
								Date		Number		
					Code V	$^{\prime}$ (A) (D)				Shares		

### **Reporting Owners**

Relationships

TRUST FBO ALTSCHUL EMILY H UW ALTSCHUL F C/O OVERBROOK MANAGEMENT CORP 122 EAST 42ND STREET SUITE 2500 NEW YORK, NY 10168-2500

X Chairman

# **Signatures**

/s/ Spencer Davidson, Trustee, Trust FBO Emily H. Altschul, U/W F. Altschul

04/25/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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