### Edgar Filing: EATON VANCE CORP - Form 3

EATON VANCE CORP Form 3 April 17, 2006

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

PUHY DOROTHY E

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

04/12/2006

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

EATON VANCE CORP [EV]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

THE EATON VANCE BUILDING, Â 255 STATE STREET

(Street)

10% Owner \_X\_ Director Officer

(Check all applicable)

\_ Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One Reporting Person

BOSTON. MAÂ 021092617

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

**Table I - Non-Derivative Securities Beneficially Owned** 

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

Form: (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5 Conversion or Exercise Price of Derivative

6. Nature of Indirect Ownership Beneficial Form of Ownership

(Instr. 5)

Date Exercisable Expiration Date

Title

Amount or Number of

Derivative Security: Security Direct (D)

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Shares or Indirect (I) (Instr. 5) Eaton Vance Corp. Â Option (right to buy) 04/12/2006 04/12/2016 8,200 \$ 27.99 D Non-voting Common Stock

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

PUHY DOROTHY E

THE EATON VANCE BUILDING 255 STATE STREET

BOSTON, MAÂ 021092617

## **Signatures**

By: Katie McManus, Attorneyin- fact 04/17/2006

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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