## Edgar Filing: Lowenstein Henry - Form 4

Lowenstein H Form 4	lenry									
September 03	, 2009									
<b>FORM</b>	4				~~~			OMB A	PPROVAL	
	UNITEDS		SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						3235-0287	
Check this if no longe subject to Section 16 Form 4 or Form 5	er <b>STATEM</b> 5.		SECUR	RITIES			NERSHIP OF Expires: Januar Estimated average burden hours per response			
obligation may contri <i>See</i> Instruct 1(b).	s Section 17(a	) of the Public		ding Con	ipany	Act of	ge Act of 1934, f 1935 or Section 40	n		
(Print or Type R	esponses)									
1. Name and Address of Reporting Person <u>*</u> Lowenstein Henry			2. Issuer Name <b>and</b> Ticker or Trading Symbol TRI VALLEY CORP [TIV]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (M		3. Date of Earliest Transaction					(Check all applicable)		
(Last) (First) (Middle) 8251 FOREST LAKE DRIVE			(Month/Day/Year) 09/02/2009				X_ Director 10% Owner Officer (give title Other (specify below)			
CONTRACT	(Street)		mendment, Da Month/Day/Year	-	l		6. Individual or Jo Applicable Line) _X_ Form filed by O Form filed by M	One Reporting Pe	ng(Check erson	
CONWAY, S	SC 29526						Person		1 0	
(City)	(State) (2	Zip) T	able I - Non-D	Derivative	Securi	ities Acc	quired, Disposed of	, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	y/Year) Execution Date, if Transaction(A) or Dis any Code (D) (Month/Day/Year) (Instr. 8) (Instr. 3, 4)		Disposed of Secu Bene 3, 4 and 5) Own Follo (A) Repo Tran or (Inst		Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common stock			Code V	Amount	(D)	Price		D		
Common stock	09/02/2009	09/02/2009	Р	1,000	A	\$ 0.85	7,200	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. of Derivati Securitie Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Y ve ss i	te	7. Title and a Underlying S (Instr. 3 and	Securities	8. P Der Sec (Ins
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option	\$ 6.35					05/08/2006	05/08/2016	Common stock	100,000	

## **Reporting Owners**

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
Lowenstein Henry 8251 FOREST LAKE DRIVE CONWAY, SC 29526	Х			
Signatures				
Henry				
Lowenstein 09/0	03/2009			
<u>**</u> Signature of Reporting Person	Date			

## **Explanation of Responses:**

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.