Mitchell Aron Form 3 September 05, 2017

## FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement ABERDEEN EMERGING MARKETS SMALLER Co. Mitchell Aron (Month/Day/Year) OPPORTUNITIES FUND, INC. [ABE] 08/25/2017 (Last) (Middle) (First) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1735 MARKET STREET, 32ND (Check all applicable) **FLOOR** (Street) 6. Individual or Joint/Group Director 10% Owner Officer \_X\_\_ Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Director of Investment Advisor Person PHILADELPHIA, Â PAÂ 19103 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Ownership (Instr. 4) Beneficially Owned Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 3. Title and Amount of Securities Underlying Derivative Security |                                  | 4. Conversion or Exercise          | 5.<br>Ownership<br>Form of                           | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |
|--|--|--------------------|--|----------------------------------|------------------------------------|--|---|
|  | Date<br>Exercisable                                      | Expiration<br>Date | (Instr. 4)<br>Title  | Amount or<br>Number of<br>Shares | Price of<br>Derivative<br>Security | Derivative<br>Security:<br>Direct (D)<br>or Indirect |   |

(I) (Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Mitchell Aron

1735 MARKET STREET, 32ND FLOOR Director of Investment Advisor PHILADELPHIA, PAÂ 19103

## **Signatures**

Robert Hepp as attorney in fact for Aron Mitchell 09/05/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

No securities are beneficially owned

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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