#### Edgar Filing: ILLINOIS TOOL WORKS INC - Form 3

#### ILLINOIS TOOL WORKS INC

Form 3

January 15, 2015

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB

response...

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Washington, D.C. 2034.

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**OMB APPROVAL** 

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SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement ILLINOIS TOOL WORKS INC [ITW] Zimmerman Michael R. (Month/Day/Year) 01/05/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 3600 WEST LAKE AVE. (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) GLENVIEW, ILÂ 60026 Form filed by More than One **Executive Vice President** Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) 0 D Â Commom Stock Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB control number.

 $\textbf{Table II - Derivative Securities Beneficially Owned (\textit{e.g.}, \textbf{puts}, \textbf{calls}, \textbf{warrants}, \textbf{options}, \textbf{convertible securities})}$ 

1. Title of Derivative Security	2. Date Exercisable and		3. Title and Amount of		4.	5.	6. Nature of
(Instr. 4)	tr. 4) Expiration Date (Month/Day/Year)		Securities Underlying		Conversion	Ownership	Indirect Beneficial
			Derivative Security		or Exercise	Form of	Ownership
			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative	Security:	
					Security	Direct (D)	
						or Indirect	

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				Shares		(I) (Instr. 5)	
Restricted Stock Unit (granted 02/14/2014)	$\hat{A} = \hat{A} = $	(2)	Common Stock	1,272	\$ 0	D	Â
Employee Stock Option	on 02/10/2013(3)	02/10/2022	Common Stock	10,017	\$ 55.71	D	Â
Restricted Stock Unit (granted 02/10/2012)	$\hat{A}$ $(2)$	(2)	Common Stock	1,239	\$ 0	D	Â
Restricted Stock Unit (granted 2/15/2013) (1	(2)	(2)	Common Stock	1,449	\$ 0	D	Â
Employee Stock Option	on 02/08/2009	02/08/2018	Common Stock	2,000	\$ 48.51	D	Â
Employee Stock Option	on 02/15/2014(3)	02/14/2023	Common Stock	9,325	\$ 63.25	D	Â
Employee Stock Option	on 02/14/2015(3)	02/14/2024	Common Stock	6,600	\$ 78.59	D	Â
Employee Stock Option	on 02/09/2008	02/09/2017	Common Stock	1,000	\$ 51.6	D	Â
Employee Stock Option	on 02/11/2012 <u>(3)</u>	02/11/2021	Common Stock	9,238	\$ 55.81	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Zimmerman Michael R. 3600 WEST LAKE AVE. GLENVIEW, IL 60026	Â	Â	Executive Vice President	Â		

### **Signatures**

Michael R. Zimmerman, by Maria C. Green, Senior Vice President, General Counsel & Secretary, Attorney-In-Fact on File

01/15/2015

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit (RSU) represents a contingent right to receive one share of the Company's common stock.
- (2) Each RSU vests 100% three years from the date of grant
- (3) Options vest in four (4) equal annual installments beginning one year from date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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