GOUGH MICHAEL

Form 3 July 28, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

OMB APPROVAL

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SECURITIES

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

(Print or Type Responses)

1. Name and Address of Reporting

Person *

 GOUGH MICHAEL

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

07/26/2017

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Great Western Bancorp, Inc. [GWB]

(Check all applicable)

EVP and Chief Credit Officer

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O GREAT WESTERN BANCORP, INC., 225 S MAIN AVENUE

(Street)

Director _X__ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

SIOUX FALLS. SDÂ 57104

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

Beneficially Owned (Instr. 4)

2. Amount of Securities 3. Ownership

Ownership

4. Nature of Indirect Beneficial

Form: Direct (D) or Indirect (Instr. 5)

(I) (Instr. 5)

Common Stock, par value \$0.01 per share

1,534

Â D

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Derivative Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units - 2014	(1)	(1)	Common Stock, par value \$0.01 per share	279	\$ <u>(2)</u>	D	Â
Restricted Stock Units - 2015	(3)	(3)	Common Stock, par value \$0.01 per share	325	\$ <u>(2)</u>	D	Â
Restricted Stock Units - 2016	(4)	(4)	Common Stock, par value \$0.01 per share	444	\$ <u>(2)</u>	D	Â
Restricted Stock Units (2016 STI)	(5)	(5)	Common Stock, par value \$0.01 per share	719	\$ <u>(2)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

GOUGH MICHAEL

C/O GREAT WESTERN BANCORP, INC. 225 S MAIN AVENUE

SIOUX FALLS, SDÂ 57104

Relationships

Other

Signatures

Donald J. Straka, as attorney-in-fact for Michael Gough 07/27/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units vest in three annual equal installments beginning on October 20, 2015. The restricted stock units have no expiration date.
- (2) Each restricted stock unit represents a contingent right to receive one share of the common stock, par value \$0.01 per share, of Great Western Bancorp, Inc.

(3)

Reporting Owners 2

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The restricted stock units vest in three annual equal installments beginning on December 4, 2016. The restricted stock units have no expiration date.

- (4) The restricted stock units vest in three annual equal installments beginning on December 2, 2017. The restricted stock units have no expiration date.
- (5) The restricted stock units vest on December 2, 2017. The restricted stock units have no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.