Edgar Filing: MITAROTONDA JAMES A - Form 4

MITAROTO Form 4	ONDA JAMES A										
March 22, 20	019										
FORM	4		~~~~~			~~~ .				PPROVAL	
Check th	UNITED	STATES		RITIES A shington,			NGE (COMMISSION	OMB Number:	3235-0287	
if no long	aer.						LOW		Expires:	January 31 2005	
subject to Section 1	subject to Section 16. Section 16. SECURITIES					NERSHIP OF	Estimated average burden hours per response 0				
Form 5 obligatio may cont <i>See</i> Instr 1(b).	ns Section 17(a	a) of the P	ublic U		ding Con	npan	y Act of	e Act of 1934, f 1935 or Sectio 40	•		
(Print or Type]	Responses)										
1. Name and Address of Reporting Person <u>*</u> MITAROTONDA JAMES A			2. Issuer Name and Ticker or Trading Symbol EASTERN CO [EML]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (N	(Middle) 3. Date of Earliest Transaction				(Check all applicable)					
GROUP L.I	NGTON CAPITA P., 888 SEVENTH 7TH FLOOR	L	(Month/D 03/22/20	•				X Director Officer (give below)	title $\frac{109}{\text{below}}$	% Owner her (specify	
(Street)			4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)					Applicable Line) _X_Form filed by One Reporting Person			
NEW YOR	K, NY 10019							Form filed by M Person	Aore than One R	eporting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	Derivative	Secu	rities Acq	uired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deem (Month/Day/Year) Execution any (Month/D		n Date, if Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) Day/Year) (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	ect Beneficial Ownership			
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Shares (1)	03/22/2019			А	574	А	\$ 29.16	7,503	D		
Common Shares								575,703	I	By Barington Company Equity Partners, L.P. (2) (3)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Under Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address

MITAROTONDA JAMES A C/O BARINGTON CAPITAL GROUP L.P. 888 SEVENTH AVENUE 17TH FLOOR NEW YORK, NY 10019

Signatures

/s/James A.	
Mitarotonda	03/22/2019
<u>**</u> Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 574 shares acquired under The Eastern Company Director's Fee Program pursuant to Rule 16b-3(d). The price used to determine the number of shares is the price of the shares on March 15, 2019.
- The Reporting Person is the sole stockholder and director of LNA Capital Corp. LNA Capital Corp. is the general partner of Barington
 (2) Capital Group, L.P., which is the majority member of Barington Companies Investors, LLC ("Barington Investors"). Barington Investors is the general partner of Barington Companies Equity Partners, L.P.

The Reporting Person disclaims beneficial ownership of these securities, except to the extent of his pecuniary interest therein, and this(3) report shall not be deemed to be an admission that the Reporting Person is the beneficial owner of these shares for purposes of Section 16 or for any other purpose.

Relationships

10% Owner Officer Other

X

Director

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.