Puco Christopher C. Form 3 December 14, 2012

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Puco Christopher C.

(Last)

**PARKWAY** 

(First)

(Street)

C/O ALIGN TECHNOLOGY,

INC., Â 2560 ORCHARD

(Middle)

Statement

(Month/Day/Year)

12/05/2012

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

ALIGN TECHNOLOGY INC [ALGN]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

Director \_X\_\_ Officer

10% Owner

Other (give title below) (specify below) VP, No. American Sales

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

SAN JOSE, CAÂ 94131

(City) (State) (Zip)

1. Title of Security (Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Form: Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(Instr. 4)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

4 Conversion or Exercise Price of Derivative

5. 6. Nature of Indirect Ownership Beneficial Form of Ownership

(Instr. 5)

Date Exercisable Expiration

Date

Title Amount or Number of

Derivative Security

Security: Direct (D)

### Edgar Filing: Puco Christopher C. - Form 3

				Shares		or Indirect (I) (Instr. 5)	
Right to Buy (Common Stock)	02/20/2010(1)	02/20/2019	Common Stock	1,459	\$ 7.81	D	Â
Right to Buy (Common Stock)	02/20/2011(1)	02/20/2017	Common Stock	3,563	\$ 17.94	D	Â
Restricted Stock Unit	02/20/2010(2)	02/20/2019	Common Stock	875	\$ 0.0001 (3)	D	Â
Restricted Stock Unit	02/19/2011(2)	02/19/2017	Common Stock	1,500	\$ 0.0001 (3)	D	Â
Restricted Stock Unit	02/18/2012(2)	02/18/2018	Common Stock	7,500	\$ 0.0001 (3)	D	Â
Restricted Stock Unit	02/20/2013(2)	02/20/2019	Common Stock	8,400	\$ 0.0001 (3)	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Puco Christopher C. C/O ALIGN TECHNOLOGY, INC. 2560 ORCHARD PARKWAY SAN JOSE, CA 94131	Â	Â	VP, No. American Sales	Â		

# **Signatures**

Roger E. George, Atty-in-Fact for Christopher C. Puco 12/14/2012

### **Explanation of Responses:**

\*\*Signature of Reporting Person

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents an option in which 25% of the shares subject to the option became vested and exercisable one year after the date of grant and 1/48th of the shares subject to the option became vested and exercisable each month thereafter.

Date

- (2) 1/4th of the shares subject to the restricted stock unit became vested one year after the date of grant and 1/4th of the shares subject to the restricted stock unit become vested each year thereafter. Shares will be delivered to reporting person on each vest date.
- (3) Represents par value of ALGN common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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