Wozniak Kurt F Form 4 May 21, 2013

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 4 or

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

1.Title of

Security

(Instr. 3)

Common

Common

Common

Stock

Stock

Stock

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obligations

may continue.

See Instruction

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Wozniak Kurt F

[CMCO]

(Middle)

140 JOHN JAMES AUDUBON

(First)

**PARKWAY** 

(Street)

2. Transaction Date 2A. Deemed

AMHERST, NY 14228 (City) (State) (Zip)

05/17/2013

2. Issuer Name and Ticker or Trading

Symbol

COLUMBUS MCKINNON CORP

3. Date of Earliest Transaction (Month/Day/Year)

05/17/2013

4. If Amendment, Date Original

Filed(Month/Day/Year)

Person Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

4. Securities Acquired 5. Amount of 3. (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (Month/Day/Year)

(A)

or Price Code V Amount (D)

F 98 (2)

D

 $7,051 \frac{(1)}{2}$ 

 $7,149^{(1)}$ 

1,424.0474 (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

**SEC 1474** (9-02)

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#### **OMB APPROVAL**

OMB 3235-0287 Number:

January 31, Expires: 2005 Estimated average

burden hours per response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

VP-Latin America

6. Individual or Joint/Group Filing(Check

(D) or

D

D

D

Indirect (I)

(Instr. 4)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

below)

Director

Applicable Line)

Securities

**Following** 

Reported

Transaction(s)

(Instr. 3 and 4)

Owned

Beneficially

X\_ Officer (give title

0.5

10% Owner

6. Ownership 7. Nature of

Beneficial

Ownership

(Instr. 4)

Form: Direct Indirect

Other (specify

#### Edgar Filing: Wozniak Kurt F - Form 4

# displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		te	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Options (Right to Buy)	\$ 28.45					05/19/2011	05/18/2018	Common Stock	356
Non-Qualified Stock Options (Right to Buy)	\$ 13.27					05/18/2010	05/17/2019	Common Stock	2,246
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011	05/16/2020	Common Stock	1,777
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012	05/22/2021	Common Stock	1,809
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013	05/20/2022	Common Stock	4,446

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
Wozniak Kurt F 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228			VP-Latin America			

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### **Signatures**

Kurt F. Wozniak 05/21/2013

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 3,864 shares of restricted stock issued to reporting person subject to forfeiture in whole or part: 333 shares become fully vested and non-forfeitable 10/2/2013; 62 shares become fully vested and non-forfeitable 5/19/2013; 299 shares become fully vested and

- (1) non-forfeitable 5/18/2013; 234 shares become fully vested and non-forfeitable on 5/17/2014; 719 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/23/2013, and the remaining 2,217 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (2) Represents shares withheld to satisfy tax withholding obligation upon vesting 234 restricted stock units on 5/17/2013.
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (4) Exercisable 33.33% per year for three years beginning 5/19/2011, if reporting person remains an employee of issuer.
- (5) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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