Hartley Larry Form 3 May 21, 2012

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Hartley Larry

(Last)

C/O OFFICEMAX

SHUMAN BLVD.

INCORPORATED, Â 263

(First) (Middle)

Statement

(Month/Day/Year)

05/11/2012

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

OFFICEMAX INC [omx]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

10% Owner Director \_X\_\_ Officer Other

(give title below) (specify below) SVP, Supply Chain

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One Reporting Person

NAPERVILLE, ÂILÂ 60563

(City) (State) (Zip)

(Street)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3.

Ownership Form:

4. Nature of Indirect Beneficial Ownership

(Instr. 5) Direct (D) or Indirect

(I) (Instr. 5)

Common Stock 24,108

Â D

Series D Convertible Preferred Stock 1,274 Ι By ESOP Trust (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

Conversion

6. Nature of Indirect Ownership Beneficial Ownership

or Exercise Form of (Instr. 5)

#### Edgar Filing: Hartley Larry - Form 3

		Expiration Date	(Instr. 4)		Price of	Derivative	
	Date Exercisable		Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	(2)	02/12/2016	Common Stock	17,600	\$ 4.8	D	Â
Employee Stock Option (Right to Buy)	(3)	02/11/2017	Common Stock	11,795	\$ 14.52	D	Â
Employee Stock Option (Right to Buy)	(4)	02/09/2018	Common Stock	9,160	\$ 16.86	D	Â
Employee Stock Option (Right to Buy)	(5)	02/16/2019	Common Stock	15,721	\$ 5.57	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
topy to the time of the control of t	Director	10% Owner	Officer	Other		
Hartley Larry C/O OFFICEMAX INCORPORATED 263 SHUMAN BLVD. NAPERVILLE. IL 60563	Â	Â	SVP, Supply Chain	Â		

## **Signatures**

/s/ Susan Wagner-Fleming, by POA from Larry
Hartley
05/21/2012

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Represents number of shares beneficially owned as of May 14, 2012 based on information from the Plan administrator.
- (2) The option vested in three equal annual installments beginning on February 12, 2010 and is currently exerciseable.
- (3) The option vests in three equal annual installments beginning on February 11, 2011.
- (4) The option vests in three equal annual installments beginning on February 9, 2012.
- (5) The option vests in three equal annual installments beginning on February 16, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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