Keown Gregory B Form 3 December 13, 2018

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement GLOBAL BRASS & COPPER HOLDINGS, INC. Keown Gregory B (Month/Day/Year) [BRSS] 12/03/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 475 N. MARTINGALE (Check all applicable) **ROAD. SUITE 1200** (Street) 6. Individual or Joint/Group Director 10% Owner X\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting President - A.J. Oster, LLC Person SCHAUMBURG, ILÂ 60173 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 2. Amount of Securities 1. Title of Security Ownership (Instr. 4) Beneficially Owned Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â  $10,624 \frac{(1)}{}$ D Common Stock, par value \$0.01 per share Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

2. Date Exercisable and 3. Title and Amount of 1. Title of Derivative Security 6. Nature of Indirect Ownership (Instr. 4) **Expiration Date** Securities Underlying Conversion Beneficial Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) (Instr. 4) Derivative Price of Derivative Security: Title

#### Edgar Filing: Keown Gregory B - Form 3

Date Expiration Amount or Security Direct (D)

Exercisable Date Number of Shares (I)

(Instr. 5)

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Keown Gregory B 475 N. MARTINGALE ROAD SUITE 1200 SCHAUMBURG, ILÂ 60173

 $\hat{A}$   $\hat{A}$   $\hat{A}$  President - A.J. Oster, LLC

### **Signatures**

/s/ Anne-Marie W. D'Angelo, Attorney-in-Fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

3,010 shares of Common Stock are restricted as of the date of this filing. 1,368 restricted shares will vest and become unrestricted in equal installments on each of the first three anniversaries of February 9, 2018. 935 restricted shares will vest and become unrestricted in equal installments on each of the first three anniversaries of February 9, 2017. 707 restricted shares will vest and become unrestricted in equal installments on each of the first three anniversaries of February 11, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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