DANGEL WOLFGANG H

Form 4 April 10, 2019

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287

Estimated average

burden hours per

**OMB APPROVAL** 

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Number: January 31, 2005

Section 16. Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

1(b).

(Print or Type Responses)

See Instruction

| 1. Name and AdDANGEL W          | •        | _        | 2. Issuer Name <b>and</b> Ticker or Trading Symbol SUN HYDRAULICS CORP | 5. Relationship of Reporting Person(s) to Issuer  |  |  |
|---------------------------------|----------|----------|--|---|--|--|
|                                 |          |          | [SNHY]   | (Check all applicable)  |  |  |
| (Last)                          | (First)  | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year)                       | _X_ Director 10% OwnerX_ Officer (give title Other (specify                                   |  |  |
| 1500 WEST UNIVERSITY<br>PARKWAY |          |          | 03/03/2019   | below) below)   |  |  |
|                                 |          |          | 03/03/2017   | President and CEO   |  |  |
|                                 | (Street) |          | 4. If Amendment, Date Original   | 6. Individual or Joint/Group Filing(Check   |  |  |
| SARASOTA, FL 34243              |          |          | Filed(Month/Day/Year)  | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting |  |  |
| 5/110/1501/1, 1 L 54245         |          |          |  | Person  |  |  |
| (City)                          | (State)  | (Zip)    | Table I - Non-Derivative Securities Ac                                 | equired, Disposed of, or Beneficially Own   |  |  |

| (City)                               | (State)                              | Table Table   | e I - Non-E                            | <b>Derivative</b>                     | Secui  | rities Acq     | uired, Disposed of   | , or Beneficiall   | y Owned   |
|--------------------------------------|--------------------------------------|---|--|---------------------------------------|--------|----------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securi<br>or(A) or D<br>(Instr. 3, | ispose | d of (D)       | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 03/03/2019                           |   | Code V<br>F                            | Amount 1,200 (1)                      | (D)    | Price \$ 49.53 | 48,727.9008  | D  |   |
| Common<br>Stock                      | 03/06/2019                           |   | F                                      | 1,800<br>(2)                          | D      | \$<br>49.01    | 46,927.9008  | D  |   |
| Common<br>Stock                      |                                      |   |  |                                       |        |                | 145.511  | I (3)  | BY ESOP<br>TRUST  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form SEC 1474 (9-02)

#### Edgar Filing: DANGEL WOLFGANG H - Form 4

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Date

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exer | cisable and | 7. Tit | le and     | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|--------------|-------------|--------|------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | orNumber   | Expiration D | ate         | Amou   | ınt of     | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/  | Year)       | Unde   | rlying     | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e            |             | Secur  | rities     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |              |             | (Instr | . 3 and 4) |             | Own    |
|             | Security    |                     |                    |            | Acquired   |              |             |        |            |             | Follo  |
|             | ·           |                     |                    |            | (A) or     |              |             |        |            |             | Repo   |
|             |             |                     |                    |            | Disposed   |              |             |        |            |             | Trans  |
|             |             |                     |                    |            | of (D)     |              |             |        |            |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |              |             |        |            |             |        |
|             |             |                     |                    |            | 4, and 5)  |              |             |        |            |             |        |
|             |             |                     |                    |            |            |              |             |        |            |             |        |
|             |             |                     |                    |            |            |              |             |        | Amount     |             |        |
|             |             |                     |                    |            |            | Date         | Expiration  | m: 1   | or         |             |        |
|             |             |                     |                    |            |            | Exercisable  | Date        | Title  | Number     |             |        |
|             |             |                     |                    | C 1 W      | (A) (D)    |              |             |        | of         |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |              |             |        | Shares     |             |        |

#### **Reporting Owners**

| Reporting Owner Name / Address                    | Relationships |                            |                   |       |  |  |  |
|---|---------------|----------------------------|-------------------|-------|--|--|--|
| . 9   | Director      | Director 10% Owner Officer |                   | Other |  |  |  |
| DANGEL WOLFGANG H<br>1500 WEST UNIVERSITY PARKWAY | X             |                            | President and CEO |       |  |  |  |
| SARASOTA, FL 34243                                |               |                            |                   |       |  |  |  |

#### **Signatures**

Gregory C. Yadley, as Attorney-in-Fact for WOLFGANG H.

DANGEL

04/10/2019

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld by the Company to satisfy tax withholding obligations in connection with the vesting of 4,000 shares of restricted stock granted to the reporting person on March 3, 2017.
- (2) Represents shares withheld by the Company to satisfy tax withholding obligations in connection with the vesting of 6,000 shares of restricted stock granted to the reporting person on March 6, 2018.
- (3) Reflects allocations of shares under the Sun Hydraulics Corporation Employee Stock Ownership Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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