

FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND  
Form N-PX  
August 31, 2010

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT  
INVESTMENT COMPANY

Investment Company Act File No. 811-22039

First Trust Specialty Finance and  
Financial Opportunities Fund

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Exact Name of Registrant as Specified in Declaration of Trust

120 East Liberty Drive, Suite 400, Wheaton, Illinois 60187

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Address of Principal Executive Offices (Number, Street, City, State, Zip Code)

W. Scott Jardine  
First Trust Portfolios L.P.  
120 East Liberty Drive, Suite 400  
Wheaton, Illinois 60187

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Name and Address (Number, Street, City, State, Zip Code) of Agent for Service

(630) 765-8000

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Registrant's Telephone Number, including Area Code

Date of fiscal year end: November 30  
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Date of reporting period: July 1, 2009 - June 30, 2010  
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Form N-PX is to be used by a registered management investment company, other than a small business investment company registered on Form N-5 (ss.ss. 239.24 and 274.5 of this chapter), to file reports with the Commission, not later than August 31 of each year, containing the registrant's proxy voting record for the most recent twelve-month period ended June 30, pursuant to section 30 of the Investment Company Act of 1940 and rule 30b1-4 thereunder (17 CFR 270.30b1-4). The Commission may use the information provided on Form N-PX in its regulatory, disclosure review, inspection, and policymaking roles.

A registrant is required to disclose the information specified by Form N-PX, and the Commission will make this information public. A registrant is not required to respond to the collection of information contained in Form N-PX unless the Form displays a currently valid Office of Management and Budget ("OMB") control number. Please direct comments concerning the accuracy of the information

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collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 100 F Street, N.E., Washington D.C. 20549. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. Section 3507.

Item 1. Proxy Voting Record

COMPANY NAME	MEETING DATE	CUSIP
GSC Investment Corp.	8-Jul-09	362493-108
VOTE	FOR / AGAINST MANAGEMENT	PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS
Echo Voted -- For	For	1) Elect Richard Hayden (Chairman) as director for a term ending 2012
Echo Voted -- For	For	2) Ratify the selection of Ernst & Young LLP as the independent registered public accounting firm.
COMPANY NAME	MEETING DATE	CUSIP
Apollo Investment Corporation	5-Aug-09	03761U-106
VOTE	FOR / AGAINST MANAGEMENT	PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS
Echo Voted -- For	For	1.1) Elect Ashok Bakhru as director for a term ending 2012
Echo Voted -- For	For	1.2) Elect John Hannan (Chairman) as director for a term ending 2012
Echo Voted -- For	For	1.3) Elect James Zelter (CEO) as director for a term ending 2012
Echo Voted -- For	For	2) Ratify the selection of PricewaterhouseCoopers LLP as the independent registered public accounting firm for the fiscal year 2009.
Echo Voted -- For	For	3) Authorize flexibility for the company to allow the board of directors to approve selling shares of its common stock (during the next 12 months) at prices below the company's then-current NAV per share.
Echo Voted -- For	For	4) Authorize the company to sell or otherwise issue warrants or securities to subscribe for or convertible into shares of the company's common stock
COMPANY NAME	MEETING DATE	CUSIP
Gladstone Investment Corporation	13-Aug-09	376546-107
VOTE	FOR / AGAINST MANAGEMENT	PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS
Echo Voted -- For	For	1.1) Elect Maurice Coulon as director for a term ending 2012
Echo Voted -- For	For	1.2) Elect Terry Lee Brubaker (Vice Chairman, COO & Secretary) as director for a term ending 2012

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Echo Voted -- For	For	1.3) Elect David Dullum (President) as director for a term ending 2012
Echo Voted -- For	For	2) Authorize the company to sell shares of its common stock common stock, through the one year anniversary of the 2009 Annual Meeting, at a price below the then-current net asset value (NAV) per share
Echo Voted -- For	For	3) Ratify the selection of PricewaterhouseCoopers LLP as the independent registered public accounting firm for the fiscal year 2009.

COMPANY NAME	MEETING DATE	CUSIP
PennantPark Investment Corporation	25-Aug-09	708062-104

VOTE	FOR / AGAINST MANAGEMENT	PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS
Echo Voted -- For	For	1) Authorize flexibility for the company to allow the board of directors to approve selling shares of its common stock (during the next 12 months) at prices below the company's then-current NAV per share.
Echo Voted -- For	For	2) Authorize the company to sell or otherwise issue warrants or securities to subscribe for or convertible into shares of the company's common stock
Echo Voted -- Against	For	3) To transact such other business as may properly come before the meeting.

COMPANY NAME	MEETING DATE	CUSIP
Patriot Capital Funding, Inc.	18-Nov-09	70335Y-104

VOTE	FOR / AGAINST MANAGEMENT	PROPOSALS AT SPECIAL MEETING OF SHAREHOLDERS
Echo Voted -- For	For	1) Adopt the Agreement and Plan of Merger, dated August 3, 2009, by & between Patriot & Prospect Capital Corporation, as such merger agreement may be amended from time to time.
Echo Voted -- For	For	2) Approve the adjournment of the special meeting, if necessary or appropriate, to solicit additional proxies in the event there are not sufficient votes at the time of the special meeting to adopt the forgoing proposal.

COMPANY NAME	MEETING DATE	CUSIP
Financial Federal	8-Dec-09	317492-106

VOTE	FOR / AGAINST MANAGEMENT	PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS [POSTPONED IN LIGHT OF AGREEMENT TO PROPOSED ACQUISITION FROM PEOPLE'S UNITED FINANCIAL]
Echo Voted -- For	For	1.1) Elect Lawrence Fisher as director
Echo Voted -- For	For	1.2) Elect Michael Palitz (ex-CFO) as director
Echo Voted -- For	For	1.3) Elect Paul Sinsheimer (Chairman & CEO) as director
Echo Voted -- For	For	1.4) Elect Leopold Swergold as director
Echo Voted -- For	For	1.5) Elect H.E. Timanus, Jr. as director
Echo Voted -- For	For	1.6) Elect Michael Zimmerman as director
Echo Voted -- For	For	2) Ratify the selection of KPMG LLP as the

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independent registered public accounting firm

COMPANY NAME	MEETING DATE	CUSIP
Prospect Capital Corporation	11-Dec-09	74348T-102
VOTE	FOR / AGAINST MANAGEMENT	PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS
Echo Voted -- For	For	1.1) Elect Andrew Cooper as director for term ending 2012
Echo Voted -- For	For	1.2) Elect M. Grier Eliasek (President & COO) as director for term ending 2012
Echo Voted -- For	For	2) Authorize the Company, with approval of its Board of Directors, to sell shares of its common stock at a price or prices below the Company's then-current net asset value (NAV) per share in one or more offerings.

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COMPANY NAME	MEETING DATE	CUSIP
Berkshire Hathaway	20-Jan-10	084670-108
VOTE	MANAGEMENT FOR / AGAINST	PROPOSALS AT SPECIAL MEETING OF SHAREHOLDERS (ALL ECHO VOTED)
For	For	1) Approve 50-for-1 stock split of Class B common stock while maintaining current economic & voting relationship the corporation's Class B common stock & Class A common stock.
For	For	2) Approve an amendment to clarify that Class B common stock may be split in the proposed 50-for-1 split without splitting the Class A common stock.
For	For	3) Approve an amendment to change the par value of each share of Class B common stock in connection with the proposed 50-for-1 split, to \$0.0033 from the current par value of \$0.1667 per share of Class B common stock.
For	For	4) Approve an amendment to increase the number of shares of Class B common stock & the total number of shares of all classes of stock that the corporation is authorized to issue.
For	For	5) Approve an amendment to remove the requirement to issue physical stock certificates for shares.

COMPANY NAME	MEETING DATE	CUSIP
PennantPark Investment Corporation	2-Feb-10	708062-104
VOTE	MANAGEMENT FOR / AGAINST	PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS
Echo Voted -- For	For	1) Elect Arthur Penn (Chairman & CEO) for a term as director until 2013
Echo Voted -- For	For	2) Ratify the selection of KPMG LLP as the independent registered public accounting firm.
Echo Voted -- For	For	3) Authorize flexibility for the company to allow the board of directors to approve

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selling shares of its common stock (during the next 12 months) at prices below the company's then-current NAV per share.

COMPANY NAME BlackRock Kelso	MEETING DATE 8-Feb-10	CUSIP 092533-108
VOTE  Echo Voted -- For	MANAGEMENT FOR / AGAINST  For	PROPOSALS AT SPECIAL MEETING OF SHAREHOLDERS  1) Authorize flexibility for the company to allow the board of directors to approve selling shares of its common stock in one or more offerings at prices below the company's then-current NAV per share.
COMPANY NAME Financial Federal	MEETING DATE 16-Feb-10	CUSIP 460277-106
VOTE  Echo Voted -- For  Echo Voted -- For	MANAGEMENT FOR / AGAINST  For  For	PROPOSALS AT SPECIAL MEETING OF SHAREHOLDERS  1) Approve the agreement & plan of merger, dated as of November 22, 2009 by & between People's United Financial, Inc. & Financial Federal Corporation 2) Adjourn the special meeting to a later date or dates, if necessary, to permit further solicitation of proxies in the event there are not sufficient votes at the time of the special meeting, or at any adjournment of that meeting, to approve the merger agreement.
COMPANY NAME Gladstone Capital	MEETING DATE 18-Feb-10	CUSIP 376535-100
VOTE  Echo Voted -- For Echo Voted -- For Echo Voted -- For Echo Voted -- For Echo Voted -- For	MANAGEMENT FOR / AGAINST  For For For For For	PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS  1.1) Elect Paul Aldelgren for a term as director until 2013 1.2) Elect John Outland for a term as director until 2013 1.3) Elect David Gladstone (founder, Chairman & CEO) for a term as director until 2013 2) Authorize the company to issue & sell shares of its common stock at a price below the company's then-current NAV per share. 3) Ratify the selection of PricewaterhouseCoopers LLP as the independent registered public accounting firm.
COMPANY NAME Allied Capital	MEETING DATE 26-Mar-10	CUSIP 01903Q-108
VOTE  Echo Voted -- For	MANAGEMENT FOR / AGAINST  For	PROPOSALS AT SPECIAL MEETING OF SHAREHOLDERS  1) Approve the merger of ARCC Odyssey Corp., a wholly-owned subsidiary of Ares Capital, with & into Allied Capital & to approve the agreement & plan of merger, as such agreement

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Echo Voted -- For	For	may be amended from time to time, dated as of October 26, 2009, among Allied Capital, Ares Capital & ARCC Odyssey. 2) Approve the adjournment of the special meeting, if necessary or appropriate, to solicit additional proxies in the event there are not sufficient votes at the time of the special meeting to approve the forgoing proposal.
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COMPANY NAME	MEETING DATE	CUSIP
Ares Capital	26-Mar-10	04010L-103
VOTE MANAGEMENT FOR / PROPOSALS AT SPECIAL MEETING OF SHAREHOLDERS AGAINST		
Echo Voted -- For	For	1) Approve the issuance of Ares common stock pursuant to the agreement & plan of merger (as amended from time to time), dated October 26, 2009, among Ares Capital & ARCC Odyssey (a wholly-owned subsidiary of Ares Capital)
Echo Voted -- For	For	2) Approve the adjournment of the special meeting, if necessary or appropriate, to solicit additional proxies in the event there are not sufficient votes at the time of the special meeting to approve the forgoing proposal.

COMPANY NAME	MEETING DATE	CUSIP
Northwest Bancshares, Inc.	21-Apr-10	667340-103
VOTE MANAGEMENT FOR / PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS AGAINST		
Echo Voted -- For	For	1.1) Elect Robert Ferrier as director for term ending 2013
Echo Voted -- For	For	1.2) Elect Richard McDowell as director for term ending 2013
Echo Voted -- For	For	1.3) Elect Joseph Long as director for term ending 2013
Echo Voted -- For	For	1.4) Elect John Meegan as director for term ending 2013
Echo Voted -- For	For	2) Ratify the selection of KPMG LLP as the independent registered public accounting firm.

COMPANY NAME	MEETING DATE	CUSIP
MVC Capital, Inc.	27-Apr-10	553829-102
VOTE MANAGEMENT FOR / PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS AGAINST		
Echo Voted -- For	For	1.1) Elect Emilio Dominianni as director
Echo Voted -- For	For	1.2) Elect Gerald Hellerman as director
Echo Voted -- For	For	1.3) Elect Robert Knapp as director
Echo Voted -- For	For	1.4) Elect Warren Holtsberg (insider) as director
Echo Voted -- For	For	1.5) Elect William Taylor as director
Echo Voted -- For	For	1.6) Elect Michael Tokarz (insider) as director

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Echo Voted -- For For 2) Ratify the selection of Ernst & Young LLP as the independent registered public accounting firm.

COMPANY NAME Hatteras Financial MEETING DATE 5-May-10 CUSIP 41902R-103

VOTE MANAGEMENT FOR / AGAINST PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS

Echo Voted -- For For 1.1) Elect Michael Hough (Chairman & CEO) as director

Echo Voted -- For For 1.2) Elect Benjamin Hough (President & COO) as director

Echo Voted -- For For 1.3) Elect David Berson as director

Echo Voted -- For For 1.4) Elect Ira Kawaller as director

Echo Voted -- For For 1.5) Elect Jeffrey Miller as director

Echo Voted -- For For 1.6) Elect Thomas Wren as director

Echo Voted -- For For 2) Ratify the selection of Ernst & Young LLP as the independent registered public accounting firm.

Echo Voted -- For For 3) Approve 2010 Equity Incentive Plan

COMPANY NAME Hatteras Financial MEETING DATE 5-May-10 CUSIP 41902R-202

VOTE MANAGEMENT FOR / AGAINST PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS

Echo Voted -- For For 1.1) Elect Michael Hough (Chairman & CEO) as director

Echo Voted -- For For 1.2) Elect Benjamin Hough (President & COO) as director

Echo Voted -- For For 1.3) Elect David Berson as director

Echo Voted -- For For 1.4) Elect Ira Kawaller as director

Echo Voted -- For For 1.5) Elect Jeffrey Miller as director

Echo Voted -- For For 1.6) Elect Thomas Wren as director

Echo Voted -- For For 2) Ratify the selection of Ernst & Young LLP as the independent registered public accounting firm.

Echo Voted -- For For 3) Approve 2010 Equity Incentive Plan

COMPANY NAME People's United Financial MEETING DATE 6-May-10 CUSIP 712704-105

VOTE MANAGEMENT FOR / AGAINST PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS

For For 1.1) Elect John Dwight as director for a term ending 2013

For For 1.2) Elect Janet Hansen as director for a term ending 2013

For For 1.3) Elect Mark Richards as director for a term ending 2013

For For 2) Ratify the selection of KPMG LLP as the independent registered public accounting firm.

For Against 3) Amend the company's articles of incorporation &/or bylaws to provide that director nominees shall be elected by the affirmative vote of the majority of votes cast at an annual meeting of shareholders, with a plurality vote standand retained for contested

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director elections, that is, when the number of director nominees exceeds the number of board seats.

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COMPANY NAME	MEETING DATE	CUSIP
Cypress Shapridge Investments	7-May-10	23281A-307
VOTE	MANAGEMENT FOR / AGAINST	PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS
Echo Voted -- For	For	1.1) Elect Kevin Grant (Chairman & CEO) as director
Echo Voted -- For	For	1.2) Elect Douglas Crocker II as director
Echo Voted -- For	For	1.3) Elect Jeffrey Hughes (Vice-Chairman of Cypress) as director
Echo Voted -- For	For	1.4) Elect Stephen Jonas as director
Echo Voted -- For	For	1.5) Elect Raymond Redlingshafer Jr. as director
Echo Voted -- For	For	1.6) Elect David Tyson as director
Echo Voted -- For	For	1.7) Elect John Nathman as director
Echo Voted -- For	For	2) Ratify the selection of Deloitte & Touche LLP as independent registered public accounting firm

COMPANY NAME	MEETING DATE	CUSIP
NGP Capital Resources	12-May-10	62912R-107
VOTE	MANAGEMENT FOR / AGAINST	PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS
Echo Voted -- For	For	1.1) Elect Kenneth Hersh (Chairman) as director for a term ending 2013
Echo Voted -- For	For	1.2) Elect James Latimer III as director for a term ending 2013
Echo Voted -- For	For	2) Ratify the selection of PwC (PricewaterhouseCoopers LLP) as the independent registered public accounting firm.
Echo Voted -- For	For	3) Adjourn the annual meeting, if necessary, to solicit additional proxies if #1 & #2 are not approved

COMPANY NAME	MEETING DATE	CUSIP
W.R. Berkley	18-May-10	084423-102
VOTE	MANAGEMENT FOR / AGAINST	PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS
Echo Voted -- For	For	1.1) Elect W. Robert Berkley, Jr. (President & COO) as director for a term ending 2013
Echo Voted -- For	For	1.2) Elect Ronald Blaylock as director for a term ending 2013
Echo Voted -- For	For	1.3) Elect Mark Brockbank as director for a term ending 2013
Echo Voted -- For	For	1.4) Elect Mary Farrell as director for a term ending 2013
Echo Voted -- For	For	2) Ratify the selection of KPMG LLP as the independent registered public accounting firm.

COMPANY NAME	MEETING DATE	CUSIP
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MFA Financial	20-May-10	55272X-102
VOTE	MANAGEMENT FOR / AGAINST	PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS
Echo Voted -- For	For	1.1) Elect Stewart Zimmerman (Chairman & CEO) as director for a term ending 2013
Echo Voted -- For	For	1.2) Elect Joseph Brodsky as director for a term ending 2013
Echo Voted -- For	For	1.3) Elect Alan Gosule as director for a term ending 2013
Echo Voted -- For	For	2) Amend & restate the company's Amended & Restated 2004 Equity Compensation Plan by replacing it with the 2010 Equity Compensation Plan to, among other things, increase the number of shares available for grant under the plan
Echo Voted -- For	For	3) Ratify the selection of Ernst & Young LLP as the independent registered public accounting firm.

COMPANY NAME	MEETING DATE	CUSIP
NorthStar Realty Finance Corp.	21-May-10	66704R-100

VOTE	MANAGEMENT FOR / AGAINST	PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS
Echo Voted -- For	For	1.1) Elect C. Preston Butcher as director
Echo Voted -- For	For	1.2) Elect Stephen Cummings as director
Echo Voted -- For	For	1.3) Elect David Hamamoto (Chairman & CEO) as director
Echo Voted -- For	For	1.4) Elect Judith Hannaway as director
Echo Voted -- For	For	1.5) Elect Wesley Minami as director
Echo Voted -- For	For	1.6) Elect Louis Paglia as director
Echo Voted -- For	For	2) Ratify the selection of Grant Thornton LLP as independent registered public accounting firm

COMPANY NAME	MEETING DATE	CUSIP
Compass Diversified Holdings	26-May-10	20451Q-104

VOTE	MANAGEMENT FOR / AGAINST	PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS
Echo Voted -- For	For	1.1) Elect Harold Edwards as director for a term ending 2013
Echo Voted -- For	For	1.2) Elect Mark Lazarus as director for a term ending 2013
Echo Voted -- For	For	2) Ratify the selection of Grant Thornton LLP as independent registered public accounting firm

COMPANY NAME	MEETING DATE	CUSIP
MCG Capital Corporation	26-May-10	58047P-107

VOTE	MANAGEMENT FOR / AGAINST	PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS
unvoted	For	1.1) Elect Steven Tunney (CEO) as director for a term ending 2013
unvoted	For	1.2) Elect Kim Kelly as director for a term ending 2013
unvoted	For	2) Ratify the selection of Ernst & Young LLP as the independent registered public

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unvoted	For	accounting firm.
unvoted	For	3) Authorize the company to sell up to 15% of its outstanding common stock at a price below its then current net asset value per share
unvoted	For	4) Authorize the company to issue securities to subscribe to, convert to, or purchase shares in one of rmore offerings up to an aggregate of 10m shares
unvoted	For	5) Amend the company's Second Amended & Restated 2006 Employee Restricted Stock Plan to increase the maximum aggregate number of shares available for issuance thereunder from 3.5m to 6.05m shares
unvoted	For	6) Amend the company's Second Amended & Restated 2006 Non-Employee Director Restricted Stock Plan to increase the maximum aggregate number of shares available for issuance thereunder from 100,000 to 150,000 shares

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COMPANY NAME	MEETING DATE	CUSIP
Annaly Capital Management, Inc.	27-May-10	035710-409

VOTE	MANAGEMENT FOR / AGAINST	PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS
Echo Voted -- For	For	1.1) Elect Kevin Brady as director for a term ending 2013
Echo Voted -- For	For	1.2) Elect E. Wayne Nordberg as director for a term ending 2013
Echo Voted -- For	For	2) Approve the 2010 Equity Incentive Plan
Echo Voted -- For	For	3) Ratify the selection of Deloitte & Touche LLP as independent registered public accounting firm

COMPANY NAME	MEETING DATE	CUSIP
Fidelity National Financial	27-May-10	31620R-105

VOTE	MANAGEMENT FOR / AGAINST	PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS
Echo Voted -- For	For	1.1) Elect Daniel "Ron" Lane as director for a term ending 2013
Echo Voted -- For	For	1.2) Elect William Lyon as director for a term ending 2013
Echo Voted -- For	For	1.3) Elect Richard Massey as director for a term ending 2013
Echo Voted -- For	For	1.4) Elect Cary Thompson as director for a term ending 2013
Echo Voted -- For	For	2) Ratify the selection of KPMG LLP as the independent registered public accounting firm.

COMPANY NAME	MEETING DATE	CUSIP
Solar Capital Ltd.	3-Jun-10	83413U-100

VOTE	MANAGEMENT FOR / AGAINST	PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS
Echo Voted -- For	For	1.1) Elect David Wachter as director for a

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Echo Voted -- For	For	term ending 2013 2) Ratify the selection of KPMG LLP as the independent registered public accounting firm.
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COMPANY NAME	MEETING DATE	CUSIP
Ares Capital Corporation	7-Jun-10	04010L-103

VOTE	MANAGEMENT FOR / AGAINST	PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS
Echo Voted -- For	For	1.1) Elect Frank O'Bryan as director for a term ending 2013
Echo Voted -- For	For	1.2) Elect Antony Ressler (Officer) as director for a term ending 2013
Echo Voted -- For	For	1.3) Elect Eric Siegel as director for a term ending 2013
Echo Voted -- For	For	2) Ratify the selection of KPMG LLP as the independent registered public accounting firm.
Echo Voted -- For	For	3) Authorize the company to sell or otherwise issue shares of common stock at a price below its then current net asset value per share subject to certain limitations (including that the number of shares issued do not exceed 25% of its then outstanding common stock

COMPANY NAME	MEETING DATE	CUSIP
BlackRock Kelso Capital Corporation	7-Jun-10	092533-108

VOTE	MANAGEMENT FOR / AGAINST	PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS
Echo Voted -- For	For	1.1) Elect James Maher (Chairman & CEO) as director for a term ending 2013
Echo Voted -- For	For	1.2) Elect Maureen Usifer as director for a term ending 2013
Echo Voted -- For	For	2) Amend the company's certificate of incorporation to increase the number of common shares authorized from 100m to 200m
Echo Voted -- For	For	3) Ratify the selection of Deloitte & Touche LLP as independent registered public accounting firm

COMPANY NAME	MEETING DATE	CUSIP
Hercules Technology Growth Capital, Inc.	9-Jun-10	427096-508

VOTE	MANAGEMENT FOR / AGAINST	PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS
Echo Voted -- For	For	1) Elect Manuel A. Henriquez (Chairman & CEO) as director for a term ending 2013
Echo Voted -- For	For	2) Ratify the selection of Ernst & Young LLP as the independent registered public accounting firm.
Echo Voted -- For	For	3) Authorize the Company to sell or otherwise issue up to 20% of the Company's outstanding common stock at a price below the Company's then current net asset value per share
Echo Voted -- For	For	4) Authorize the Company to offer and issue debt with warrants or debt convertible into shares of its common stock at an exercise or

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conversion price that, at the time such warrants or convertible debt are issued, will not be less than the fair market value per share but may be below the Company's then current NAV

COMPANY NAME	MEETING DATE	CUSIP
Main Street Capital Corporation	10-Jun-10	56035L-104

VOTE	MANAGEMENT FOR / AGAINST	PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS
unvoted -- Echo Vote requested, but not implemented	For	1) Elect as directors the nominees 1) Michael Appling Jr., 2) Joseph Canon, 3) Arthur French, 4) William Gutermuth, 5) Vincent Foster, & 6) Todd Reppert
unvoted -- Echo Vote requested, but not implemented	For	2) Authorize the company to sell shares of its common stock during the next 12 months at a price below the then-current net asset value (NAV) per share
unvoted -- Echo Vote requested, but not implemented	For	3) Ratify the selection of Grant Thornton LLP as independent registered public accounting firm

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COMPANY NAME	MEETING DATE	CUSIP
Medallion Financial Corp.	11-Jun-10	583928-106

VOTE	MANAGEMENT FOR / AGAINST	PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS
Echo Voted -- For	For	1.1) Elect Mario Cuomo as director for a term ending 2013
Echo Voted -- For	For	1.2) Elect Andrew Murstein (President) as director for a term ending 2013
Echo Voted -- For	For	1.3) Elect Lowell Weicker Jr. as director for a term ending 2013
Echo Voted -- For	For	2) Ratify the selection of Weiser LLP as the independent registered public accounting firm.
Echo Voted -- For	For	3) Approve the overall executive pay-for-performance compensation policies & procedures employed by the company
Echo Voted -- For	For	4) Approve the 2009 Employee Restricted Stock Plan

COMPANY NAME	MEETING DATE	CUSIP
TICC Capital Corp.	16-Jun-10	87244T-109

VOTE	MANAGEMENT FOR / AGAINST	PROPOSALS AT ANNUAL MEETING OF SHAREHOLDERS
Echo Voted -- For	For	1) Elect Tonia Pankopf as director
Echo Voted -- For	For	2) Ratify the selection of PwC (PricewaterhouseCoopers LLP) as the independent registered public accounting firm.
Echo Voted -- For	For	3) Authorize the company to issue securities to subscribe to, convert to, or purchase shares of its common stock in one or more offerings.

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Echo Voted -- Against	Against	4) Terminate the investment advisory agreement by & between TICC Capital Corp. & its investment adviser, TICC Management, LLC, effective as soon as is legally permissible thereunder.
Echo Voted -- Against	For	5) Vote upon such other business as may properly come before the meeting or any postponement or adjournment thereof.

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SIGNATURES

[See General Instruction F]

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) FIRST TRUST SPECIALTY FINANCE  
AND FINANCIAL OPPORTUNITIES FUND  
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By (Signature and Title)\* /s/ James A. Bowen  
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James A. Bowen, President

Date August 31, 2010  
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\* Print the name and title of each signing officer under his or her signature.