#### **COLLECTORS UNIVERSE INC**

Form 8-K

September 20, 2018

#### **UNITED STATES**

#### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

#### **CURRENT REPORT**

Pursuant to Section 13 OR 15(d) of

The Securities Exchange Act of 1934

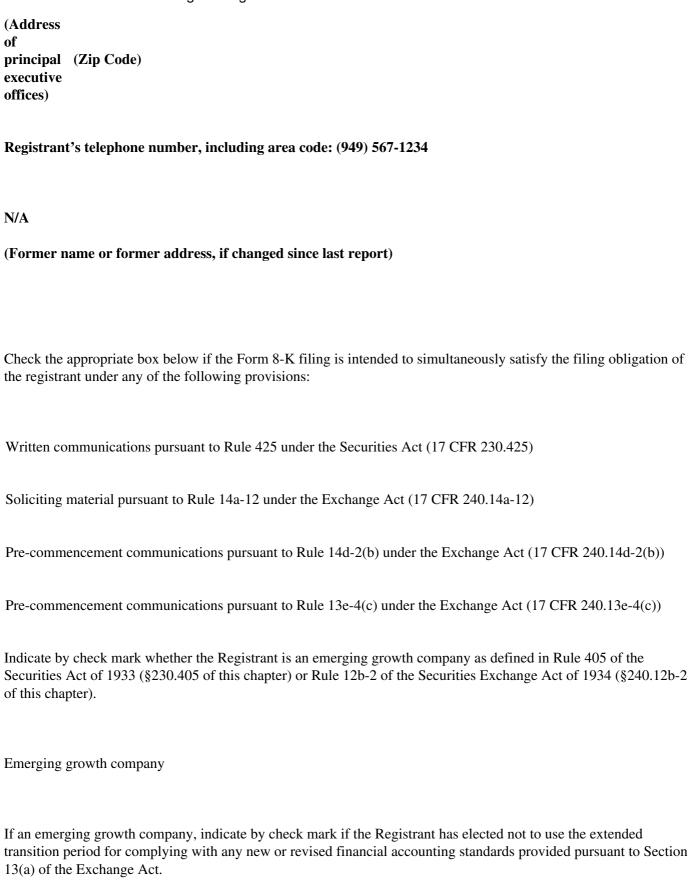
Date of Report (Date of earliest event reported): September 18, 2018

## COLLECTORS UNIVERSE, INC.

(Exact name of registrant as specified in its charter)

Delaware 1-34240 33-0846191 (State or other jurisdiction (Commission (IRS Employer of incorporation) File Number) Identification No.)

1610 East Saint Andrew Place, Santa Ana, California



Edgar Filing: COLLECTORS UNIVE	:RSE INC - Form 8-K	

### 

#### **Departure of Officer**

The employment of David G. Hall, as the Company's President and Chief Operating Officer, was terminated effective September 18, 2018. His termination is unrelated to the Company's financial reporting or accounting practices. Mr. Hall remains on the Board of Directors.

Joseph J. Orlando, the Company's Chief Executive Officer, will assume Mr. Hall's duties.

#### **Item 7.01 Regulation FD Disclosure**

On September 18, 2018, the Company issued a press release reporting the termination of David G. Hall's employment as the Company's President and Chief Operating Officer. A copy of that press release is attached as Exhibit 99.1 to this Current Report.

In accordance with General Instruction B.2 of Form 8-K, the information in this Item 7.01 of this Report, and Exhibit 99.1 hereto, are being furnished and shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), or otherwise subject to the liabilities of that Section, nor shall such information or such Exhibit be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended (the "Securities Act").

#### Item 9.01 Financial Statements and Exhibits.

#### (d) Exhibits.

Exhibit	Docarintia
No.	Description

99.1 Press release issued September 18, 2018 by Collectors Universe, Inc. reporting the termination of the employment of David G. Hall as President and Chief Operating Officer of the Company.

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this Current Report to be signed on its behalf by the undersigned hereunto duly authorized.

## COLLECTORS UNIVERSE, INC.

Dated: September 20, 2018 By: /s/ JOSEPH J. WALLACE

Joseph J. Wallace, Chief Financial Officer

S-1

# **EXHIBIT INDEX**

Exhibit No.	Description
99.1	Press release issued September 18, 2018 by Collectors Universe, Inc. reporting the termination of the employment of David G. Hall as President and Chief Operating Officer of the Company.
E-1	