

PDF SOLUTIONS INC

Form 4

August 23, 2012

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**HARTGRING CORNELIS**

(Last) (First) (Middle)

**333 W. SAN CARLOS ST., SUITE  
1000**

(Street)

**SAN JOSE, CA 95110**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**PDF SOLUTIONS INC [PDFS]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**08/22/2012**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

Vice President, CSS

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|---|---|--------------------------------------|---|--|---|---|
| Common<br>Stock                       | 08/22/2012                              |   | M <sup>(1)</sup>                     | 10,000  | A \$ 5.4   | 79,821  | D   |
| Common<br>Stock                       | 08/22/2012                              |   | S                                    | 1,600   | D \$ 11.235  | 78,221  | D   |
| Common<br>Stock                       | 08/22/2012                              |   | S                                    | 3,800   | D \$ 11.24   | 74,421  | D   |
| Common<br>Stock                       | 08/22/2012                              |   | S                                    | 3,500   | D \$ 11.245  | 70,921  | D   |
| Common<br>Stock                       | 08/22/2012                              |   | S                                    | 400   | D \$ 11.26   | 70,521  | D   |

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|              |            |   |        |   |           |        |   |
|--------------|------------|---|--------|---|-----------|--------|---|
| Common Stock | 08/22/2012 | S | 700    | D | \$ 11.31  | 69,821 | D |
| Common Stock | 08/23/2012 | M | 10,000 | A | \$ 5.4    | 79,821 | D |
| Common Stock | 08/23/2012 | S | 320    | D | \$ 11.9   | 79,501 | D |
| Common Stock | 08/23/2012 | S | 100    | A | \$ 11.85  | 79,401 | D |
| Common Stock | 08/23/2012 | S | 200    | D | \$ 11.855 | 79,201 | D |
| Common Stock | 08/23/2012 | S | 200    | D | \$ 11.865 | 79,001 | D |
| Common Stock | 08/23/2012 | S | 400    | D | \$ 11.87  | 78,601 | D |
| Common Stock | 08/23/2012 | S | 400    | D | \$ 11.88  | 78,201 | D |
| Common Stock | 08/23/2012 | S | 100    | D | \$ 11.885 | 78,101 | D |
| Common Stock | 08/23/2012 | S | 500    | D | \$ 11.89  | 77,601 | D |
| Common Stock | 08/23/2012 | S | 1,100  | D | \$ 11.9   | 76,501 | D |
| Common Stock | 08/23/2012 | S | 100    | D | \$ 11.905 | 76,401 | D |
| Common Stock | 08/23/2012 | S | 200    | D | \$ 11.91  | 76,201 | D |
| Common Stock | 08/23/2012 | S | 500    | D | \$ 11.915 | 75,701 | D |
| Common Stock | 08/23/2012 | S | 1,500  | D | \$ 11.92  | 74,201 | D |
| Common Stock | 08/23/2012 | S | 500    | D | \$ 11.925 | 73,701 | D |
| Common Stock | 08/23/2012 | S | 1,493  | D | \$ 11.93  | 72,208 | D |
| Common Stock | 08/23/2012 | S | 1,100  | D | \$ 11.94  | 71,108 | D |
| Common Stock | 08/23/2012 | S | 500    | D | \$ 11.95  | 70,608 | D |
| Common Stock | 08/23/2012 | S | 100    | D | \$ 11.96  | 70,508 | D |
|              | 08/23/2012 | S | 422    | D | \$ 11.98  | 70,086 | D |

Common  
Stock

|                 |            |   |     |   |          |        |   |
|-----------------|------------|---|-----|---|----------|--------|---|
| Common<br>Stock | 08/23/2012 | S | 100 | D | \$ 11.99 | 69,986 | D |
|-----------------|------------|---|-----|---|----------|--------|---|

|                 |            |   |     |   |       |        |   |
|-----------------|------------|---|-----|---|-------|--------|---|
| Common<br>Stock | 08/23/2012 | S | 100 | D | \$ 12 | 69,886 | D |
|-----------------|------------|---|-----|---|-------|--------|---|

|                 |            |   |    |   |          |        |   |
|-----------------|------------|---|----|---|----------|--------|---|
| Common<br>Stock | 08/23/2012 | S | 65 | D | \$ 12.03 | 69,821 | D |
|-----------------|------------|---|----|---|----------|--------|---|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                    |                 |                                     |
|---|---|---|---|---|--|--|-----|---|--------------------|-----------------|-------------------------------------|
|   |   |   |   | Code                                    | V  | (A)  | (D) | Date<br>Exercisable   | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of Shares |
| Stock<br>Options<br>(Right to<br>Buy)               | \$ 5.4  | 08/22/2012                              |   | M                                       |  | 10,000   |     | 09/03/2002  | 09/02/2012         | Common<br>Stock | 10,000                              |
| Stock<br>Options<br>(Right to<br>Buy)               | \$ 5.4  | 08/23/2012                              |   | M                                       |  | 10,000   |     | 09/03/2002  | 09/02/2012         | Common<br>Stock | 10,000                              |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships                             |
|---|---|
|   | Director    10% Owner    Officer    Other |
| HARTGRING CORNELIS<br>333 W. SAN CARLOS ST.<br>SUITE 1000<br>SAN JOSE, CA 95110 | Vice President, CSS                       |

## Signatures

/s/ Gregory Walker, Attorney-in-Fact for Cornelis  
Hartgring

08/23/2012

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) All transactions reported on this Form 4 were effected pursuant to a rule 10b-5 Sales Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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