

KAPLAN MARK N
Form 4
July 07, 2010

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
KAPLAN MARK N

(Last) (First) (Middle)

3500 QUAKERBRIDGE RD, PO BOX 3127

(Street)

MERCERVILLE, NJ 08619

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

CONGOLEUM CORP [CGMC.PK]

3. Date of Earliest Transaction (Month/Day/Year)

07/01/2010

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Amount (D) Price		
Class A Common Stock \$0.01 Par Value	07/01/2010		J ⁽¹⁾	16,000 D	0	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: KAPLAN MARK N - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Security (Instr. 3 and 4)	Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Non-Qualified Stock Options (right to buy)	\$ 2.05	07/01/2010		J ⁽¹⁾	2,000	01/10/2003	07/11/2012	Class A Common Stock Par Value \$0.01	2,000
Non-Qualified Stock Options (right to buy)	\$ 2.05	07/01/2010		J ⁽¹⁾	500	01/10/2003	07/11/2012	Class A Common Stock Par Value \$0.01	500
Non-Qualified Stock Options (right to buy)	\$ 0.75	07/01/2010		J ⁽¹⁾	500	12/31/2003	07/01/2013	Class A Common Stock Par Value \$0.01	500
Non-Qualified Stock Options (right to buy)	\$ 2.6	07/01/2010		J ⁽¹⁾	500	12/31/2004	07/01/2014	Class A Common Stock Par Value \$0.01	500
Non-Qualified Stock Options (right to buy)	\$ 3.91	07/01/2010		J ⁽¹⁾	500	12/31/2005	07/01/2015	Class A Common Stock Par Value \$0.01	500
Non-Qualified Stock Options (right to buy)	\$ 2.11	07/01/2010		J ⁽¹⁾	500	12/31/2006	07/01/2016	Class A Common Stock Par Value \$0.01	500
Non-Qualified Stock Options (right to buy)	\$ 0.95	07/01/2010		J ⁽¹⁾	500	12/31/2007	07/01/2017	Class A Common Stock Par Value \$0.01	500

Option Type	Exercise Price	Grant Date	Expiration Date	Quantity	Contract Date	Expiration Date	Class	Par Value
Non-Qualified Stock Options (right to buy)	\$ 0.02	07/01/2010	J ⁽¹⁾	500	12/31/2008	07/02/2018	Class A Common Stock	\$0.01
Non-Qualified Stock Options (right to buy)	\$ 0.02	07/01/2010	J ⁽¹⁾	500	12/31/2009	07/02/2019	Class A Common Stock	\$0.01

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KAPLAN MARK N 3500 QUAKERBRIDGE RD PO BOX 3127 MERCERVILLE, NJ 08619	X			

Signatures

Mark N. Kaplan 07/06/2010

Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Pursuant to the Fourth Amended Joint Plan of Reorganization under Chapter 11 of the Bankruptcy Code of the Company, et al., dated March 11, 2010 (as modified, the "Plan"), as modified and confirmed by the Order Confirming the Plan (the "Order") entered by the U.S.

(1) District Court for the District of New Jersey on June 7, 2010, as of July 1, 2010, all equity interests in the Company, including all shares of [Class A Common Stock], have been cancelled with holders of such equity interests retaining and receiving nothing on account of such equity interests.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.