Edgar Filing: ALLEN ROBERT W - Form 4

ALLEN RO Form 4	BERT W										
	4, 2018										
November 14, 2018 FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). UNITED STATES SECU Wa STATEMENT OF CHAI Filed pursuant to Section Section 17(a) of the Public U 30(h) of the I				shington, GES IN I SECUR 6(a) of the ility Hold	D.C. 205 BENEFI ITIES e Securiti ling Com	OMB Number: Expires: Estimated a burden hou response					
(Print or Type]	Responses)										
ALLEN ROBERT W S			2. Issuer Name and Ticker or Trading Symbol PARK CITY GROUP INC [PCYG]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (N	liddle)	Earliest Tra		L	,	(Check all applicable)				
C/O PARK CITY GROUP, INC., 299 S MAIN STREET, STE 2225				/Day/Year)/2018t				Director 10% Owner Officer (give title below) Other (specify below)			
				endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SALT LAK	E CITY, UT 8411	1						Form filed by N Person	Iore than One Re	porting	
(City)	(State) (Zip)	Table	e I - Non-D	erivative S	ecuri	ties Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	ansaction Date 2A. Deemed hth/Day/Year) Execution Date, if any (Month/Day/Year)			4. Securiti on(A) or Dis (Instr. 3, 4) Amount	sposed	l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)		
Common Stock	08/17/2018			Х	25,581	Α	\$ 6.45	650,091	D		
Common Stock	11/12/2018			A <u>(1)</u>	1,856	А	\$ 10.1	651,947	D		
Common Stock								118,933	Ι	Trust (By Spouse)	
Series B Preferred Stock								79,493	D		
								11,686	D		

Series B-1 Preferred Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Warrant	\$ 6.45	08/17/2018		Х		25,581	08/23/2018	08/28/2018	Common Stock	25,581
Warrant	\$4						02/05/2015	02/05/2020	Common Stock	130,753

Reporting Owners

Reporting Owner Name / Ad	dress	Relationships						
	Director	10% Owner	Officer	Other				
ALLEN ROBERT W C/O PARK CITY GROUP, 299 S MAIN STREET, STE SALT LAKE CITY, UT 841	2225							
Signatures								
/s/ Robert W. Allen	11/14/2018							

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

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Shares issued in lieu of amounts payable to the Reporting Person for his service on the Issuer's Board of Directors for the quarter ended September 30, 2018, based on the closing price of the Issuer's common stock on September 28, 2018, as reported on the Nasdaq Capital Market.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.