Latta Paul I. Jr. Form 4/A January 22, 2018

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

obligations may continue. See Instruction 1(b).

Check this box

if no longer

subject to

Section 16.

Form 4 or

Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Latta Paul I. Jr.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

Howard Bancorp Inc [hbmd]

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

(Last)

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

01/15/2018

X\_ Director 10% Owner Officer (give title Other (specify below)

6011 UNIVERSITY BLVD., SUITE 370

(Street)

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

01/15/2018

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

ELLICOTT CITY, MD 21043

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) Ownership (Instr. 4) (Instr. 4)

(A) or

Reported Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed Derivative Conversion (Month/Day/Year) Execution Date, if Security or Exercise any

5. Number 6. Date Exercisable and Transactionof **Expiration Date** Code Derivative (Month/Day/Year)

7. Title and Amount of 8. I Underlying Securities De (Instr. 3 and 4) Sec

#### Edgar Filing: Latta Paul I. Jr. - Form 4/A

(In

| (Instr. 3)                                   | Price of<br>Derivative<br>Security |            | (Month/Day/Year) | (Instr. | 8) | Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |            |                     |                    |                 |  |
|--|------------------------------------|------------|------------------|---------|----|---|------------|---------------------|--------------------|-----------------|--|
|  |                                    |            |                  | Code    | V  | (A)   | (D)        | Date<br>Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares |
| Options<br>to<br>purchase<br>common<br>stock | \$ 11                              | 01/15/2018 |                  | X       |    |   | 980<br>(1) | 01/15/2008          | 01/15/2018         | Common<br>Stock | 980                                    |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |         |       |  |  |  |
|--|---------------|-----------|---------|-------|--|--|--|
|  | Director      | 10% Owner | Officer | Other |  |  |  |
| Latta Paul I. Jr.<br>6011 UNIVERSITY BLVD.<br>SUITE 370<br>ELLICOTT CITY, MD 21043 | X             |           |         |       |  |  |  |

# **Signatures**

George Coffman, Attorney in Fact, for Paul I.

Latta, Jr.

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The original Form 4 incorrectly indicated the reporting person acquired, instead of disposed of, the indicated options. This amendment correctly reflects that the options were disposed of upon exercise

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2