Yuma Energy, Inc. Form 8-K September 25, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 8-K

CURRENT REPORT Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

> Date of Report: September 21, 2015 (Date of earliest event reported)

Yuma Energy, Inc. (Exact name of registrant as specified in its charter)

CALIFORNIA

(State or other jurisdiction of incorporation)

001-32989 (Commission File Number) 94-0787340 (IRS Employer Identification No.)

1177 West Loop South, Suite 1825 Houston, Texas 77027 (Address of principal executive offices) (Zip Code)

(713) 968-7000 (Registrant's telephone number, including area code)

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- £ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- £ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- £ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- £ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers;5.02. Compensatory Arrangements of Certain Officers.

On September 21, 2015, the Board of Directors (the "Board") of Yuma Energy, Inc. (the "Company") terminated the Company's Working Interest Incentive Plan ("WIIP"), which was an executive compensation plan of the Company. The WIIP provided the Company's principal executive officer with the option to acquire from the Company a working interest in the Company's prospects in an amount up to 2.5% of the Company's working interest in such prospects.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

YUMA ENERGY, INC.

By: Name: Title: /s/ Sam L. Banks Sam L. Banks President and Chief Executive Officer

Date: September 25, 2015