Yuma Energy, Inc. Form 8-K November 17, 2014

#### **UNITED STATES**

# SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

### FORM 8-K

#### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report: November 14, 2014 (Date of earliest event reported)

Yuma Energy, Inc. (Exact name of registrant as specified in its charter)

CALIFORNIA 001-32989 94-0787340 (State or other jurisdiction of incorporation) (Commission File of incorporation) Number) Identification No.)

1177 West Loop South, Suite 1825 Houston, Texas 77027 (Address of principal executive offices) (Zip Code)

(713) 968-7000 (Registrant's telephone number, including area code)

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- £ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- £ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- £ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- £ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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Item 8.01. Other Events.

On November 14, 2014, Yuma Energy, Inc. (the "Company") issued a press release announcing the declaration by its Board of Directors of a monthly cash dividend payment on the Company's 9.25% Series A Cumulative Redeemable Preferred Stock (the "Series A Preferred Stock") of \$0.2486559 per share, an annualized 9.25% per share based on the \$25.00 per share stated liquidation preference, accruing from October 23, 2014 through November 30, 2014. The dividend on the Series A Preferred Stock is payable on December 1, 2014, to holders of record at the close of business on November 24, 2014. A copy of the press release is attached to this Current Report on Form 8-K as Exhibit 99.1.

Item 9.01. Financial Statements and Exhibits.

(d) Exhibits.

The following exhibit is filed with this Current Report on Form 8-K:

Exhibit Description No.

99.1 Press Release dated November 14, 2014.

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## **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

YUMA ENERGY, INC.

Date: November 17, 2014 By: /s/ Sam L. Banks

Name: Sam L. Banks

Title: President and Chief Executive

Officer

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# EXHIBIT INDEX

Exhibit Description

No.

99.1 Press Release dated November 14, 2014.